

The Eisenstein ideal with squarefree level

Carl Wang-Erickson

University of Pittsburgh

UCSD number theory seminar, 7 May 2020

Plan

- 1 What is a congruence of modular forms?
- 2 Mazur's Eisenstein congruences at prime level
- 3 Eisenstein congruences at squarefree level (joint with Preston Wake)
 - ▶ Reference: <https://arxiv.org/abs/1804.06400>
- 4 Examples
- 5 A more subtle case (joint with P. Wake and Catherine Hsu)

Modular forms

Let $N = \ell_1 \cdots \ell_r$ be a squarefree integer, with ℓ_i prime.

“Modular forms” in this talk are modular forms of weight 2 and level $\Gamma_0(N)$, $M_2(N)$, thought of as holomorphic functions in the complex variable z in the upper half plane of \mathbb{C} . We have a splitting

$$M_2(N) = \text{Eis}_2(N) \oplus S_2(N)$$

into cusp forms and the span of Eisenstein series.

We represent them as q -series, $f(z) = \sum_{n \geq 0} a_n(f) q^n$, for $q = e^{2\pi iz}$.

Example (When N is prime)

$\text{Eis}_2(N)$ is spanned by $E_{2,N}(z) = \frac{N-1}{24} + \sum_{n \geq 1} \left(\sum_{d|n, (N,d)=1} d \right) q^n$.

The least prime N with non-zero $S_2(N)$ is $N = 11$, spanned by

$$f(z) = q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11 \cdot n})^2.$$

Congruences of Hecke eigenvalues

Consider the Hecke action by Hecke operators

- T_n for $(n, N) = 1$, $n \geq 1$ (“unramified” operators)
- w_ℓ for primes $\ell \mid N$, the Atkin–Lehner operator at ℓ (an involution)

There is a common eigenbasis of $M_2(N)$ for the Hecke action, consisting of eigenforms, and the eigenvalues are algebraic integers.

↪ We can make sense of “congruences between eigenforms.”

Definition

Let $f, g \in M_2(N)$ be eigenforms. Let $\mathbb{Q}(f)$ denote the number field generated by the Hecke eigenvalues of f . A *congruence modulo p between f and g* is a prime v of $\mathbb{Q}(f, g)$ dividing p such that the Hecke eigenvalues of f and g under $\{T_n, w_\ell\}$ are equivalent modulo v .

Write “ $f \equiv g \pmod{p}$ ” to express the existence of a cong. modulo p .

Eisenstein congruences

We focus on congruences between Eisenstein series and cusp forms.

Definition (Eisenstein congruences)

An *Eisenstein congruence modulo p* is a cusp form $f \in S_2(N)$ that is congruent to some Eisenstein series modulo p .

Convention: $f = f(z) = \sum_{n \geq 1} a_n(f)q^n$ denotes a normalized eigenform, meaning $a_1(f) = 1$. Then for $(n, N) = 1$, $T_n \cdot f = a_n(f) \cdot f$. So we can read the T_n -part of congruences off of q -series. E.g. $a_n(E_{2,N}) = \sigma(n)$.

Example

Let $N = 11$. Then $E_{2,11} = \frac{10}{24} + q + 3q^2 + 4q^3 + \dots$ and $f = q - 2q^2 - q^3 + \dots$ are congruent modulo p if and only if $p = 5$.

(More on w_ℓ later, but $w_N = -1$ on $M_2(N)$ when N is prime.)

Mazur's results on the Eisenstein ideal

Mazur's torsion theorem (mid 1970s) says the torsion subgroup $E(\mathbb{Q})_{\text{tors}}$ of the rational points of an elliptic curve E/\mathbb{Q} is one of 15 options. He proved this by analyzing Eisenstein congruences at *prime* level N .

The analysis was carried out by applying commutative algebra to the Hecke algebra of weight 2 and level $\Gamma_0(N)$.

- Let $\mathbb{T}_{\mathbb{Z}}$ denote the \mathbb{Z} -subalgebra of $\text{End}_{\mathbb{C}}(M_2(N))$ generated by Hecke operators.
- Let $\mathbb{T}_{\mathbb{Z}}^0$ be its quotient arising from the action on $S_2(N)$.

Moduli-theoretic interpretation. We have a bijection

$$\begin{aligned} \{\text{normalized eigenforms } \in M_2(N)\} &\longleftrightarrow \{\mathbb{T}_{\mathbb{Z}} \rightarrow \overline{\mathbb{Q}}\} \\ f &\mapsto (\text{homom. sending } T_n, w_\ell \mapsto \text{their eigenval. on } f). \end{aligned}$$

Commutative algebra of Hecke algebras

We have the cuspidal version as well.

$$\begin{aligned} \{\text{normalized eigenforms} \in M_2(N)\} &\longleftrightarrow \{\mathbb{T}_{\mathbb{Z}} \rightarrow \overline{\mathbb{Q}}\} \\ \{\text{normalized eigenforms} \in S_2(N)\} &\longleftrightarrow \{\mathbb{T}_{\mathbb{Z}}^0 \rightarrow \overline{\mathbb{Q}}\} \end{aligned}$$

that is, “ $\mathbb{T}_{\mathbb{Z}}$ is the moduli of eigenforms.”

Let N be prime, so that there is a unique Eisenstein series $E_{2,N}$. Let

$$\mathbb{T}_{\mathbb{Z}} \supset I_{\mathbb{Z}} := \ker(\mathbb{T}_{\mathbb{Z}} \xrightarrow{\text{eigensys. of } E_{2,N}} \overline{\mathbb{Q}}).$$

We have $\mathbb{T}_{\mathbb{Z}}/I_{\mathbb{Z}} \cong \mathbb{Z}$, with $T_n \mapsto \sigma(n)$ there.

Its isomorphic image $I_{\mathbb{Z}}^0 \subset \mathbb{T}_{\mathbb{Z}}^0$ is *Mazur's Eisenstein ideal*.

Idea (Mazur). Relate commutative algebra of $I_{\mathbb{Z}}^0 \subset \mathbb{T}_{\mathbb{Z}}^0$ to Eisenstein congruences.

Commutative algebra to measure Eisenstein congruences

Definition

Let $\mathbb{T} := (\mathbb{T}_{\mathbb{Z}})_{(I_{\mathbb{Z}}, \rho)}^{\wedge}$, $\mathbb{T}^0 := (\mathbb{T}_{\mathbb{Z}}^0)_{(I_{\mathbb{Z}}^0, \rho)}^{\wedge}$ be the completions at the maximal ideals generated by $I_{\mathbb{Z}}$ and ρ .

Let $I := I_{\mathbb{Z}} \cdot \mathbb{T}$, $I^0 := I_{\mathbb{Z}}^0 \cdot \mathbb{T}^0$.

Because $\mathbb{T}_{\mathbb{Z}} \rightarrow \mathbb{T}_{\mathbb{Z}}^0$ are reduced finite-rank free \mathbb{Z} -algebras, $\mathbb{T} \rightarrow \mathbb{T}^0$ have the same properties/ \mathbb{Z}_p .

Moduli-theoretic interpretation. We have bijections

$$\begin{aligned} \{\text{Eisenstein congruences}\} \cup \{E_{2,N}\} &\longleftrightarrow \{\mathbb{T} \rightarrow \overline{\mathbb{Q}}_p\} \\ \{\text{Eisenstein congruences}\} &\longleftrightarrow \{\mathbb{T}^0 \rightarrow \overline{\mathbb{Q}}_p\} \end{aligned}$$

\mathbb{T}, \mathbb{T}^0 are local rings. We say they *interpolate* the Eisenstein congruences.

Mazur's results on the Hecke algebras

Terminology. Today, we will call

- \mathbb{T} the “Hecke algebra”
- \mathbb{T}^0 the “cuspidal Hecke algebra”
- $I \subset \mathbb{T}$ and its isomorphic image $I^0 \subset \mathbb{T}^0$ the “Eisenstein ideal.”

Theorem (Mazur, case of prime N)

There exists an Eisenstein congruence modulo p (i.e. $\mathbb{T}^0 \neq 0$) if and only if $p \mid \frac{N-1}{12}$. Moreover, when $\mathbb{T}^0 \neq 0$,

- 1 $\mathbb{T}^0/I^0 \cong \mathbb{Z}_p/(\frac{N-1}{12}) \rightsquigarrow \frac{N-1}{12}$ is the “congruence number”
- 2 I^0 is principal (hence \mathbb{T}^0 is monogeneric/ \mathbb{Z}_p)
- 3 (let $p \geq 3$) for a prime $q \neq N$, $T_q - (q+1)$ generates I^0 if and only if
 - ▶ $q \not\equiv 1 \pmod{p}$, and
 - ▶ q is not a p th power modulo N .

Mazur's results on the Hecke algebras

Theorem (Mazur, case of prime N)

There exists an Eisenstein congruence modulo p (i.e. $\mathbb{T}^0 \neq 0$) if and only if $p \mid \frac{N-1}{12}$. Moreover, when $\mathbb{T}^0 \neq 0$,

- 1 $\mathbb{T}^0/I^0 \cong \mathbb{Z}_p / \left(\frac{N-1}{12}\right) \rightsquigarrow \frac{N-1}{12}$ is the "congruence number"
- 2 I^0 is principal (hence \mathbb{T}^0 is monogeneric/ \mathbb{Z}_p)
- 3 (let $p \geq 3$) for a prime $q \neq N$, $T_q - (q+1)$ generates I^0 if and only if
 - ▶ $q \not\equiv 1 \pmod{p}$, and
 - ▶ q is not a p th power modulo N .

Mazur's application. For applications to rational points on the modular curve $X_0(N)$, what was especially useful is the *Gorenstein* property of \mathbb{T}^0 . Gorensteinness follows from the complete intersection ("CI") property, which follows from $\mathbb{T}^0/\mathbb{Z}_p$ being flat and monogeneric.

Generalizing to squarefree level

Recall: Write $N = \ell_1 \cdots \ell_r$, and

$$M_2(N) = \text{Eis}_2(N) \oplus S_2(N), \quad \text{with } \dim_{\mathbb{C}} \text{Eis}_2(N) = 2^r - 1.$$

Definition (A-L signature ε)

In $M_2(N)$, there are exactly $2^r - 1$ realized possibilities for the eigenvalues of the r -tuple of Atkin–Lehner involution $(w_{\ell_1}, \dots, w_{\ell_r})$, denoted

$$\varepsilon = (\varepsilon_1, \dots, \varepsilon_r) \in \{\pm 1\}^{\times r} \setminus \{(+1, \dots, +1)\}$$

In particular, the Eisenstein part $\text{Eis}_2(N)$ has a basis enumerated by the A-L signatures,

$$\{E_{2,N}^{\varepsilon}\}_{\varepsilon}, \quad \text{where } w_{\ell_i} \cdot E_{2,N}^{\varepsilon} = \varepsilon_i \cdot E_{2,N}^{\varepsilon}.$$

Why w_ℓ -operators instead of U_ℓ -operators?

One more frequently sees operators U_ℓ instead of w_ℓ . Their eigenvalues on $\text{Eis}_2(N)$ are

$$w_\ell : \pm 1, \quad U_\ell : 1, \ell.$$

We study w_ℓ because we view them as “desingularizing” the U_ℓ -action on Eisenstein series:

- the w_ℓ -eigenvalues on $\text{Eis}_2(N)$ are distinct modulo any odd prime p
- the U_ℓ -eigenvalues on $\text{Eis}_2(N)$ degenerate modulo p that divide $\ell - 1$

Moreover, Eisenstein congruences proliferate when $p \mid (\ell - 1)$, so using w_ℓ -operators is a useful refinement!

Commutative algebra - squarefree setup

Let ε be a choice of A-L signature, which singles out an Eisenstein series $E_{2,N}^\varepsilon$ (even modulo p for p odd).

- Let $I_{\mathbb{Z}}^\varepsilon \subset \mathbb{T}_{\mathbb{Z}}$ be the annihilator of $E_{2,N}^\varepsilon$, so $\mathbb{T}_{\mathbb{Z}}/I_{\mathbb{Z}}^\varepsilon \cong \mathbb{Z}$. We have

$$I_{\mathbb{Z}}^\varepsilon = (T_n - \sigma(n), w_\ell - \varepsilon_\ell).$$

- Let $\mathbb{T}^\varepsilon := (\mathbb{T}_{\mathbb{Z}})_{(I_{\mathbb{Z}}^\varepsilon, p)}^\wedge$.

- ▶ Bijection $\{\mathbb{T}^\varepsilon \rightarrow \overline{\mathbb{Q}}_p\} \longleftrightarrow \{\text{Eis. congruences with } E_{2,N}^\varepsilon\} \cup \{E_{2,N}^\varepsilon\}$.

- Let $\mathbb{T}^{\varepsilon,0}$ be the completion of $\mathbb{T}_{\mathbb{Z}}^0$ at the image of $(I_{\mathbb{Z}}^\varepsilon, p)$.

- ▶ Bijection $\{\mathbb{T}^{\varepsilon,0} \rightarrow \overline{\mathbb{Q}}_p\} \longleftrightarrow \{\text{Eis. congruences with } E_{2,N}^\varepsilon\}$.

Goal. Generalize Mazur's results on $\mathbb{T}_{\text{prime}}^0$ to the squarefree level $\mathbb{T}^{\varepsilon,0}$ and \mathbb{T}^ε .

Results at squarefree level

Mazur's results on $\mathbb{T}_{\text{prime}}^0$ (level N):

- 1 the congruence number is $(N - 1)/12$
 - 2 I_{prime}^0 is principal, so $\mathbb{T}^0/\mathbb{Z}_p$ is monogeneric and complete intersection
 - 3 Determination of which choices of single Hecke operator generate I^0
-

Ohta has established the analogue of (1) for odd p .

Theorem (Ohta, stated here when $p \geq 5$)

The congruence number of $I^{\varepsilon,0} \subset \mathbb{T}^{\varepsilon,0}$ is $a_0(E_{2,N}^{\varepsilon})$, which equals

$$\frac{1}{24} \prod_{i=1}^r (\ell_i + \varepsilon_i).$$

In other words, $\mathbb{T}^{\varepsilon,0} \neq 0$ iff $p \mid a_0(E_{2,N}^{\varepsilon})$; moreover, $\mathbb{T}^{\varepsilon,0}/I^{\varepsilon,0} \cong \frac{\mathbb{Z}_p}{a_0(E_{2,N}^{\varepsilon})}$.

New results at squarefree level, Case I

We present analogues to (2) and (3) in two Cases ($p \geq 5$ throughout).

Case I. $\varepsilon = (-1, -1)$, $N = \ell_1 \ell_2$, $\ell_1 \equiv \ell_2 \equiv 1 \pmod{p}$, and

$$\log_{\ell_1}(\ell_2) \neq 0 \quad \text{and} \quad \log_{\ell_2}(\ell_1) \neq 0,$$

where $\log_{\ell} : \mathbb{F}_{\ell}^{\times} \rightarrow \mathbb{Z}/p\mathbb{Z}$ is a choice of surjection.

Theorem (Wake–WE, Case I.)

- 1 \mathbb{T}^{ε} is complete intersection
- 2 $\mathbb{T}^{\varepsilon, 0}$ is not Gorenstein
- 3 There is an isomorphism $I^{\varepsilon}/I^{\varepsilon 2} \cong \mathbb{Z}_p/(\ell_1 - 1) \oplus \mathbb{Z}_p/(\ell_2 - 1)$
- 4 For primes $q_1, q_2 \nmid N$, the Hecke operators $\{T_{q_i} - (q_i + 1)\}$ generate I^{ε} if and only if

$$(q_1 - 1)(q_2 - 1) \det \begin{pmatrix} \log_{\ell_1}(q_1) & \log_{\ell_1}(q_2) \\ \log_{\ell_2}(q_1) & \log_{\ell_2}(q_2) \end{pmatrix} \neq 0 \in \mathbb{F}_p.$$

New results at squarefree level, Case I

Generators of I^ε , $N = \ell_1 \ell_2$ and $\varepsilon = (-1, -1)$

For primes $q_1, q_2 \nmid N$, the Hecke operators $\{T_{q_i} - (q_i + 1)\}$ generate I^ε if and only if

$$(q_1 - 1)(q_2 - 1) \det \begin{pmatrix} \log_{\ell_1}(q_1) & \log_{\ell_1}(q_2) \\ \log_{\ell_2}(q_1) & \log_{\ell_2}(q_2) \end{pmatrix} \neq 0 \in \mathbb{F}_p.$$

This neatly generalizes Mazur's condition at prime level.

Generator of I at prime level ℓ

$T_q - (q + 1)$ generates the Eisenstein ideal at level $\Gamma_0(\ell)$ if and only if

$$(q - 1) \log_\ell(q) \neq 0 \in \mathbb{F}_p.$$

New results at squarefree level, Case II

Case II. $\varepsilon = (-1, +1, +1, \dots, +1)$, $\ell_1 \equiv 1 \pmod{p}$, $\ell_i \equiv -1 \pmod{p}$ for $2 \leq i \leq r$.

For what levels and signatures do Eisenstein congruent newforms exist?

There can be new congruences at any prime ℓ when $\varepsilon_\ell = -1$. In contrast, when $\varepsilon = +1$, there are new congruences only when $\ell \equiv -1 \pmod{p}$.

We need to set up a certain number field for each prime ℓ_i for $2 \leq i \leq r$. Let $K_{\ell_i}/\mathbb{Q}(\zeta_p)$ be the unique \mathbb{Z}/p -extension such that

- ramified only at primes over ℓ_i
- the prime $(1 - \zeta_p)$ splits completely
- the $\text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$ -action on $\text{Gal}(K_{\ell_i}/\mathbb{Q}(\zeta_p))$ is given by ω^{-1} , where ω is the modulo p cyclotomic character $\omega : \text{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q}) \xrightarrow{\sim} \mathbb{F}_p^\times$.

New results at squarefree level, Case II

Case II, meaning $\varepsilon = (-1, +1, \dots, +1)$, and $\ell_i \equiv -\varepsilon_i \pmod{p}$.

Theorem (Wake–WE, **Case II.**)

- 1 \mathbb{T}^ε is complete intersection
- 2 $\mathbb{T}^{\varepsilon,0}$ is Gorenstein iff I^ε is principal
- 3 There is a SES

$$0 \rightarrow \bigoplus_{i=2}^r \frac{\mathbb{Z}_p}{(\ell_i + 1)} \rightarrow I^\varepsilon / I^{\varepsilon 2} \rightarrow \mathbb{Z}_p / (\ell_1 - 1) \rightarrow 0$$

- 4 The minimal number of generators of I^ε is $r - \delta$, where $\delta \in \{0, 1\}$ equals 0 if and only if ℓ_1 splits completely in K_{ℓ_i} for all $2 \leq i \leq r$.
- 5 There is a sufficient condition for a $(r - \delta)$ -tuple of primes (q_j) such that $\{T_{q_j} - (q_j + 1)\}$ generate I^ε . It is explicit, and we omit it here.

Implications for “multiplicity one”

Let $J_0(N)$ be the Jacobian of the closed modular curve. “Multiplicity one” (modulo p) means that

$$\dim_{\mathbb{F}_p} J_0(N)[p]_{\mathfrak{m}^\varepsilon} = 2$$

where $(-)_{\mathfrak{m}^\varepsilon}$ refers to the $\mathfrak{m}^\varepsilon = (I_{\mathbb{Z}}^\varepsilon, p)$ part under the $\mathbb{T}_{\mathbb{Z}}$ -action on $J_0(N)$.

Corollary (Wake–WE)

The \mathbb{F}_p -dimension above is $(\# \text{gens. of } I^\varepsilon) + 1$. Consequently,

- 1 *Multiplicity one fails in Case I (the $\# \text{gens.}$ is 2).*
- 2 *Multiplicity one holds in Case II iff $r - \delta = 1$.*
- 3 *Generally, multiplicity one $\iff \mathbb{T}^{\varepsilon,0}$ is Gorenstein.*

Statement (2) confirms a conjecture of Ribet, when $r = 2$. (But this requires a comparison between w -based and U -based theory!)

Examples

Example of Case II. Let $\varepsilon = (-1, +1)$, $p = 5$, $l_1 = 41$, $l_2 = 19$.

We find the number field K_{19} and check that 41 splits completely in it. Hence \mathbb{T}^ε (and I^ε) has two generators. Using Sage, we find

$$\frac{\mathbb{T}^\varepsilon}{5\mathbb{T}^\varepsilon} \cong \frac{\mathbb{F}_5[x, y]}{(y^2 - 2x^2, xy)},$$

where $x = T_2 - 3$ and $y = T_{11} - 12$.

Also, \mathbb{T}^ε is complete intersection, $\mathbb{T}^{\varepsilon, 0}$ is not Gorenstein, multiplicity one fails, and the congruence number is $\frac{1}{24}(41 - 1) \cdot (19 + 1)$.

Examples

Example of Case I. Let $\varepsilon = (-1, -1)$, $p = 5$, $\ell_1 = 11$, $\ell_2 = 41$.

The theorem applies, since

- 11 is not a 5th power modulo 41, and
- 41 is not a 5th power modulo 11.

We get that \mathbb{T}^ε and I^ε have two generators, $\mathbb{T}^{\varepsilon,0}$ is not Gorenstein, multiplicity one fails, and the congruence number is $\frac{1}{24}(11-1)(41-1)$.

What primes (q_1, q_2) give generators $\{T_{q_i} - (q_i + 1)\}$ of I^ε ?

Among $q \in \{2, 3, 7, 13\}$, we get

$$\log_{\ell_i}(q) = 0 \iff \ell_i = 41 \text{ and } q = 3.$$

Thus for $q \in \{2, 7, 13\}$, we get that

$$\begin{pmatrix} \log_{11}(q) & \log_{11}(3) \\ \log_{41}(q) & \log_{41}(3) \end{pmatrix}$$

has non-zero determinant $\in \mathbb{F}_5$, and $(q, 3)$ give generators of I^ε .

Proofs of the theorems: comparison with Galois representations

We know the shape of the 2-dim. p -adic $G_{\mathbb{Q}} = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -representations ρ_f associated to new eigenforms $f \in M_2(N)$: when restricted to a decomposition group G_ℓ at a prime $\ell \mid Np$,

$$\rho|_{G_\ell} \simeq \nu(-\varepsilon_\ell) \otimes \begin{pmatrix} \kappa & * \\ 0 & 1 \end{pmatrix}, \quad \text{where}$$

- κ is the p -adic cyclotomic character
- $\nu(\alpha)$ is the unramified character of G_ℓ sending $\text{Frob}_\ell \mapsto \alpha$.
- $*$ denotes a non-trivial extension

Method.

- 1 Produce a ring R^ε that parameterizes $G_{\mathbb{Q}}$ -**pseudoreps.** as above
- 2 Verify Wiles's numerical criterion to prove $R^\varepsilon \cong \mathbb{T}^\varepsilon$.
 - ▶ Byproduct: \mathbb{T}^ε is complete intersection.
- 3 Relate arithmetic properties to structure of R^ε , deducing theorems about $\mathbb{T}^\varepsilon, \mathbb{T}^{\varepsilon,0}$.

Beyond complete intersection cases

We suspect we have addressed all complete intersection cases...

↪ what lies beyond?

Simplest case. $p \geq 5$, $N = l_1 l_2$, $\varepsilon = (-1, -1)$,

$$l_1 \equiv 1 \pmod{p}, \quad \text{but } l_2 \not\equiv \pm 1 \pmod{p}.$$

Theorem (A particular case of a more general result of Ribet)

There is a new Eisenstein congruence at level N with A-L sig. $(-1, -1)$

$$\iff \log_{l_1}(l_2) = 0 \in \mathbb{F}_p.$$

When this new Eisenstein congruence exists, \mathbb{T}_N^ε is not Gorenstein. (So we can't hope to apply the numerical criterion to prove $R_N^\varepsilon = \mathbb{T}_N^\varepsilon$.)

With C. Hsu and P. Wake, we study the simplest non-Gorenstein case.

Non-Gorenstein “ $R = \mathbb{T}$ ”

Assume $\log_{\ell_1}(\ell_2) = 0$ and consider the *strict* surjection $\mathbb{T}_N^\varepsilon \twoheadrightarrow \mathbb{T}_{\ell_1}$. We understand Mazur’s prime level setting: $R_{\ell_1} \cong \mathbb{T}_{\ell_1}$ there.

For simplicity assume $\text{rank}_{\mathbb{Z}_p} \mathbb{T}_{\ell_1}^0 = 1$, so $\mathbb{T}_{\ell_1}^0 \cong \mathbb{Z}_p$. Then $\text{rank}_{\mathbb{Z}_p} \mathbb{T}_{\ell_1} = 2$.

An argument for $R_N^\varepsilon \cong \mathbb{T}_N^\varepsilon$ (Hsu–Wake–WE)

- Since $\mathbb{T}_N^\varepsilon \twoheadrightarrow \mathbb{T}_{\ell_1}$ is strict, $\text{rank}_{\mathbb{Z}_p} \mathbb{T}_N^\varepsilon \geq 3$.
- It is easy to produce $R_N^\varepsilon \twoheadrightarrow \mathbb{T}_N^\varepsilon$
- Prove that an arithmetic condition implies that $\dim_{\mathbb{F}_p} R_N^\varepsilon / pR_N^\varepsilon \leq 3$.

Put together, the arithmetic condition implies $R_N^\varepsilon \cong \mathbb{T}_N^\varepsilon$, a non-Gorenstein case of $R_N^\varepsilon \cong \mathbb{T}_N^\varepsilon$.

Sufficient condition for non-Gorenstein $R_N^\varepsilon \cong \mathbb{T}_N^\varepsilon$

What is this arithmetic condition that we prove to imply that $R_N^\varepsilon \cong \mathbb{T}_N^\varepsilon$, under the assumptions above?

- We start with the \mathbb{Z}/p -extension $K_{\ell_1}/\mathbb{Q}(\zeta_p)$ defined above.
- Let $M := K_{\ell_1}(\ell_2^{1/p})$
- Let $C := \text{Cl}_M/p\text{Cl}_M$ be the p -cotorsion of its ideal class group.
- Take the Galois co-invariants $C_{\text{Gal}(M/\mathbb{Q})}$, which we can show to be 1-dimensional/ \mathbb{F}_p .

Arithmetic condition. ℓ_2 is inert in the \mathbb{Z}/p -extension of M cut out by $C_{\text{Gal}(M/\mathbb{Q})}$. (Ongoing work: simplify the condition.)

Reason for interest in the non-Gorenstein rings “ $R = \mathbb{T}$ ”

The techniques above allow us to understand a non-Gorenstein case of “ $R = \mathbb{T}$ ” very explicitly. These cases are difficult in general, so we hope to obtain some general/theoretical insight.