



## Molecular records of climate variability and vegetation response since the Late Pleistocene in the Lake Victoria basin, East Africa

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### ABSTRACT

New molecular proxies of temperature and hydrology are helping to constrain tropical climate change and elucidate possible forcing mechanisms during the Holocene. Here, we examine a ~14,000 year record of climate variability from Lake Victoria, East Africa, the world's second largest freshwater lake by surface area. We determined variations in local hydroclimate using compound specific  $\delta D$  of terrestrial leaf waxes, and compared these results to a new record of temperature utilizing the TEX<sub>86</sub> paleo-temperature proxy, based on aquatic Thaumarchaeotal membrane lipids. In order to assess the impact of changing climate on the terrestrial environment, we generated a record of compound specific  $\delta^{13}C$  from terrestrial leaf waxes, a proxy for ecosystem-level C<sub>3</sub>/C<sub>4</sub> plant abundances, and compared the results to previously published pollen-inferred regional vegetation shifts. We observe a general coherence between temperature and rainfall, with a warm, wet interval peaking ~10–9 ka and subsequent gradual cooling and drying over the remainder of the Holocene. These results, particularly those of rainfall, are in general agreement with other tropical African climate records, indicating a somewhat consistent view of climate over a wide region of tropical East Africa. The  $\delta^{13}C$  record from Lake Victoria leaf waxes does not appear to reflect changes in regional climate or vegetation. However, palynological analyses document an abrupt shift from a Poaceae (grasses)-dominated ecosystem during the cooler, arid late Pleistocene to a Moraceae-dominated (trees/shrubs) landscape during the warm, wet early Holocene. We theorize that these proxies are reflecting vegetation in different locations around Lake Victoria. Our results suggest a predominantly insolation-forced climate, with warm, wet conditions peaking at the maximum inter-hemispheric seasonal insolation contrast, likely intensifying monsoonal precipitation, while maximum aridity coincides with the rainy season insolation and the interhemispheric contrast gradient minima. We interpret a shift in conditions at the Younger Dryas to indicate a limited switch in insolation-dominated control on climate of the Lake Victoria region, to remote teleconnections with the coupled Atlantic and Pacific climate system.

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### 1. Introduction

Geological evidence of extensive drought and dramatic changes in water level has been widely documented at Lake Victoria and

the surrounding region in the Late Pleistocene. Seismic reflection profiles, sediment lithology, and fossil assemblages of diatoms and pollen have revealed multiple intervals of aridity, including the complete desiccation of Lake Victoria prior to 15 ka, with profound impacts on the regional fauna (Johnson et al., 1996) and flora (Stager and Mayewski, 1997; Beuning, 1999). While these studies have increased our understanding of the environmental response to aridity and the potential global climate implications captured by these records, the changes in regional climate can only be inferred

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in a qualitative sense. The advent and usage of novel molecular techniques and compound specific isotope analyses have provided additional proxies to document and quantify changes in tropical moisture balance and thermal excursions, highlighting not only the effects of reconstructed climate change, but also the regional drivers. It is often cited that tropical ecosystems are more sensitive to perturbations in hydrology than temperature (Schefuß et al., 2003; Castañeda et al., 2007), due to the limited thermal range of tropical climate. But with a paucity of continental temperature reconstructions, the full range of tropical temperatures through time is unknown. Ultimately, the new molecular proxy reconstructions (e.g. Powers et al., 2005; Tierney et al., 2008, 2010; Konecky et al., 2011) allow for an independent measure of the hydrologic and temperature shifts occurring in an area, and thus may elucidate what regional and extratropical forcing is relevant to the climate of equatorial East Africa.

To constrain the hydrologic and temperature variability associated with climate change in the Lake Victoria basin, we investigate the regional rainout history using compound specific  $\delta D$  on the dominant terrestrial leaf waxes and a record of paleotemperature reconstructed using the TEX<sub>86</sub> proxy from a Lake Victoria sediment core. TEX<sub>86</sub> and  $\delta D$  are used to determine the degree to which moisture and temperature are connected over millennial time scales in this portion of the equatorial tropics. Modeling studies suggest coupled hydrologic response with temperature (Jolly et al., 1998), and we aim to determine if the relationship between precipitation and temperature is consistent over longer time scales. We then consider the influence of precipitation and temperature variability on regional vegetation by comparing a new record of  $\delta^{13}C$  in leaf waxes from terrestrial higher plants in the same sediment samples to pollen reconstructions from Lake Victoria by Kendall (1969). These molecular proxies have been successfully applied elsewhere in tropical East and West Africa (e.g. Powers et al., 2005; Weijers et al., 2007; Tierney et al., 2008; Niedermeyer et al., 2010; Konecky et al., 2011; Sinninghe Damsté et al., 2011) over the Late Pleistocene and Holocene and thus allow for comparison within a larger regional context. These proxies will be discussed further in Sections 2.2 and 2.3.

## 2. Background

### 2.1. Lake Victoria regional setting

Lake Victoria is the largest lake by surface area in Africa, covering  $\sim 69,000$  km<sup>2</sup>. It is fairly shallow, with a mean water depth of  $\sim 40$  m, and a maximum water depth of  $\sim 79$  m (Crul, 1995). Despite the lake's large surface area, the catchment is rather small, extending less than three times larger than the lake itself,  $\sim 190,000$  km<sup>2</sup> (Yin and Nicholson, 1998). Lake Victoria is an open basin, with the largest inflowing river, the Kagera, entering via the western shore (Fig. 1). The lake serves as the headwaters to the Nile River, with the White Nile (or Victoria Nile), exiting in the north and traveling through Lake Albert and across the Sudan, before connecting with the Blue Nile to form the Nile River. The majority of water to the lake is derived from direct precipitation ( $>80\%$ ), with  $\sim 70\%$  of water loss from the basin being attributed to evaporation (Beadle, 1981; Yin and Nicholson, 1998).

While Lake Victoria experiences many smaller mixing events, it is monomictic, with one annual overturn of the entire water column occurring between May and August due to a seasonal increase of regional trade winds. Measurements taken in 1952–1954 show that annual water temperatures range from 24 to 26.6 °C, and surface waters exhibit  $\leq 2$  °C difference with bottom waters at any time during the year (Fish, 1957; Talling, 1957). The region receives annual precipitation totaling  $\sim 1200$ – $1600$  mm/

yr (Nicholson, 1988; Sene and Plinston, 1994), peaking in March–April–May (MAM; known as the long rains) and November–December (known as the short rains) (Nicholson, 1996). The delivery is governed in large part by the twice annual meridional migration of the Intertropical Convergence Zone (ITCZ), a zone of low pressure where the Northern and Southern Hemisphere trade winds meet, whose mean position moves seasonally due to interhemispheric temperature gradients (Nicholson, 1996). Additionally, the area is influenced by the Congo Air Boundary (CAB), a humid, unstable air confluence with seasonal zonal movement, marking the intersection of air masses containing moisture derived from the West African Congo Basin (derived from the Atlantic Ocean) and the western Indian Ocean (Nicholson, 1996). The modern ITCZ and CAB are located well to the north of Lake Victoria beginning in August and to the south of the basin in January, generally producing two distinct wet seasons, with easterly and southeasterly winds generally supplying moisture to the region (Levin et al., 2009).

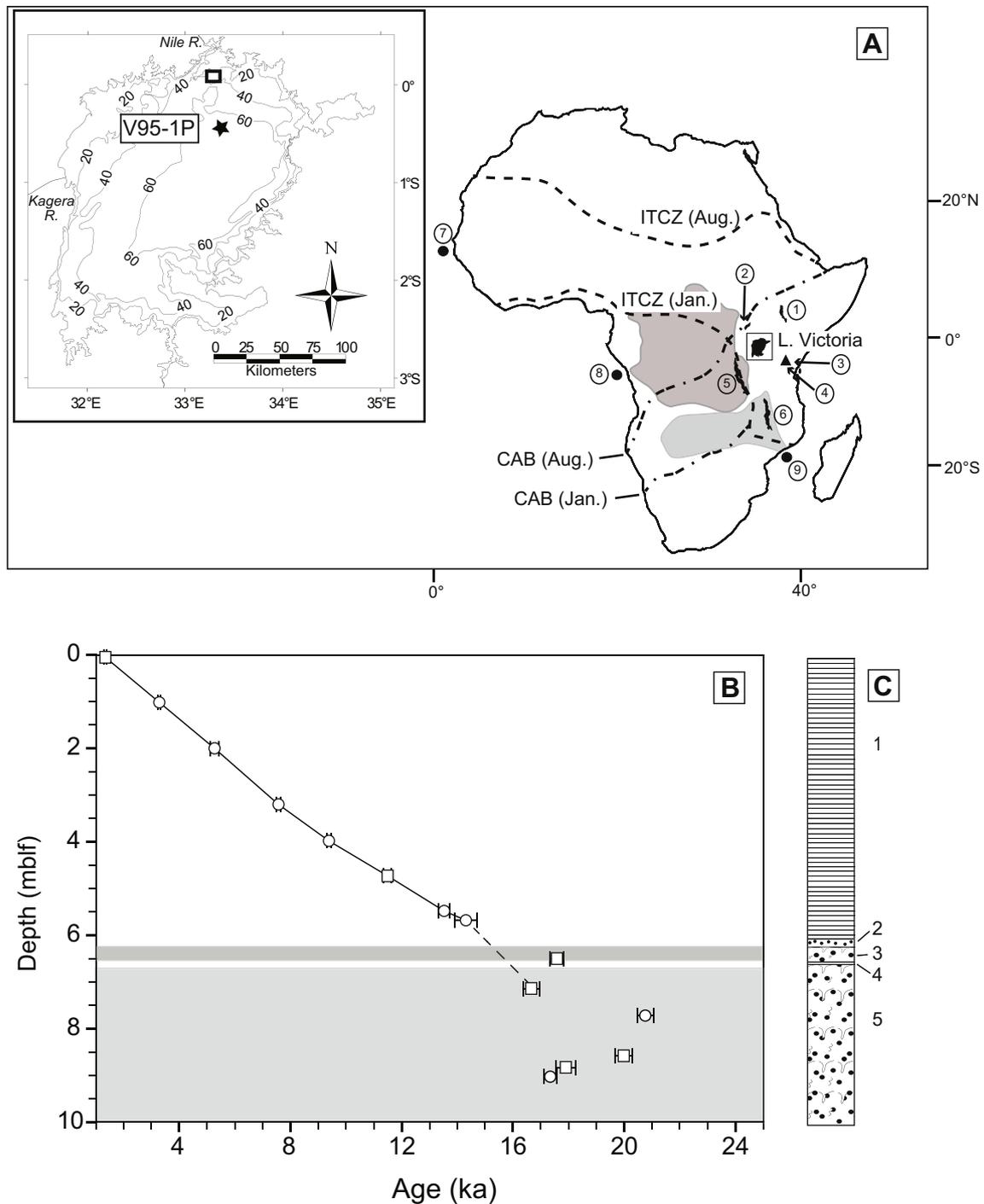
### 2.2. The TEX<sub>86</sub> temperature proxy

A number of African lake temperature records have been produced through the application of the TEX<sub>86</sub> temperature proxy. TEX<sub>86</sub> is based on the number of cyclopentane moieties of isoprenoid glycerol dialkyl glycerol tetraethers (GDGTs), membrane lipids from aquatic Thaumarchaeota, previously referred to as Crenarchaeota (Brochier-Armanet et al., 2008), which are well preserved in marine and lacustrine sediments (Schouten et al., 2002; Kim et al., 2010; Powers et al., 2010). The distribution of these GDGTs correlates with surface water temperature in the oceans and some lakes, and thereby provides the opportunity to reconstruct past temperature (Schouten et al., 2002; Blaga et al., 2009; Kim et al., 2010; Powers et al., 2010). Studies have shown that temperature primarily influences the TEX<sub>86</sub> proxy, suggesting it is independent of changes in salinity, nutrients, or hydrology (Schouten et al., 2002; Wuchter et al., 2004; Kim et al., 2010), though there is still much that is unknown about the ecology and thus habitat of the TEX<sub>86</sub>-generating Thaumarchaeota.

In some lakes, substantial amounts of soil-derived isoprenoid tetraether compounds confound the signal derived from aquatic Thaumarchaeota (Blaga et al., 2009; Powers et al., 2010) and thus TEX<sub>86</sub> may not be a reliable proxy for past temperature. This terrestrial influence is quantified by the BIT (Branched and Isoprenoid Tetraether) index, a ratio of branched GDGTs (predominantly soil-derived) to crenarchaeol, an isoprenoid GDGT that has so far only been detected in Thaumarchaeota. The BIT index ranges from  $<0.1$  (predominantly aquatic) to  $>0.9$  (predominantly terrestrial) (Hopmans et al., 2004). TEX<sub>86</sub> is not considered to be a reliable recorder of past temperature in lake sediment when BIT values exceed  $\sim 0.4$  (Blaga et al., 2009).

### 2.3. $\delta^{13}C$ and $\delta D$ of leaf wax compounds

The  $\delta^{13}C$  and  $\delta D$  of compounds derived mainly from fossil epicuticular leaf waxes, such as long chain odd-carbon numbered *n*-alkanes or even-carbon numbered *n*-alkanoic acids (Eglinton and Hamilton, 1967), are frequently used to reconstruct changing higher plant communities and precipitation (Collister et al., 1994; Sachse et al., 2004; Chikaraishi and Naraoka, 2007; Hou et al., 2008). Epicuticular waxes are easily abraded from leaf surfaces by wind or rain and can be transported via aeolian or fluvial pathways, and are generally well preserved in sediments (Huang et al., 2000; Schefuß et al., 2003). Consequently, lacustrine sediments contain a mixture of leaf waxes derived from regional and more proximal



**Fig. 1.** (A) Map of Africa, showing locations of East and West African sites described in text: 1) Lake Turkana, 2) Lake Albert, 3) Mt. Kilimanjaro, 4) Lake Challa, 5) Lake Tanganyika, 6) Lake Malawi, 7) Senegal River drainage (West Sahel), Geob9508-5, 8) Congo Basin, Geob 6518-1, 9) Zambezi River catchment, Geob9307-3. Generalized ITCZ/CAB air boundary locations (dashed lines) are shown (Nicholson, 1996; Leroux, 2001). Position of Lake Victoria: sediment core V95-1P (this study) denoted with a star and core 64-4 pollen (open square) (Kendall, 1969) are also shown; (B) Age model for V95-1P, based on new radiocarbon dates, denoted with squares (Table 1), and a published chronology (Johnson et al., 2000), denoted with circles, and associated error bars. The age model is based on linear interpolation between calibrated radiocarbon dates above 568 cm depth (14,315 ka), and is extrapolated to the youngest date into the paleosol below, represented by the dashed line. Paleosols are indicated by shaded areas; (C) Generalized sediment description based on Talbot and Lærdal (2000) as follows: 1) uniform very fine grained mud and abundant diatoms; 2) transitional sediments, few to no diatoms, crumbly texture; 3) no diatoms, crumbly texture, sandy, vertically cracked with rootlets present; 4) transitional silty/sandy mud, abundant sponge spicules; 5) return to no diatoms, crumbly texture, sandy, vertically cracked with rootlets.

vegetation whose *n*-alkane chain length and isotopic signature can be used to distinguish vegetation types (Cranwell et al., 1987; Collister et al., 1994).

There are two primary CO<sub>2</sub> fixation pathways used by terrestrial plants that have distinct isotopic signals, allowing the

use of  $\delta^{13}\text{C}$  to distinguish regional vegetation change (O'Leary, 1981). The C<sub>3</sub> (Calvin–Benson) and C<sub>4</sub> (Hatch–Slack) metabolic pathways have differing enzymatic processes that govern how atmospheric CO<sub>2</sub> is utilized and thus what isotopic signal is imparted to the plants (O'Leary, 1981). C<sub>3</sub> plants, predominantly

shrubs and trees, use ribulose biphosphate carboxylase–oxygenase (Rubisco) for initial carboxylation, and have high rates of photorespiration (O’Leary, 1981; Tipple and Pagani, 2007). C<sub>4</sub> plants, predominantly grasses and sedges, have more efficient utilization of CO<sub>2</sub> via a pre-concentrating mechanism that utilizes the enzyme phosphoenolpyruvate-carboxylase (PEP-C) and concentrates CO<sub>2</sub> at the active site of Rubisco, reducing photorespiration and consequently the carbon isotope fractionation observed (Hatch, 1987; Taiz and Zeiger, 1998; Tipple and Pagani, 2007). The carbon concentrating mechanism employed by C<sub>4</sub> plants allows them to have an ecological advantage in warmer, drier, or low pCO<sub>2</sub> environments (Cerling et al., 1997; Ehleringer et al., 1997) due to their ability to curb possible desiccation by closing stomata and reducing transpiration and CO<sub>2</sub> loss. The end result of these different photosynthetic pathways is that C<sub>3</sub> plants have a characteristically <sup>13</sup>C-depleted carbon isotope signature compared to C<sub>4</sub> plants (Rieley et al., 1993; Collister et al., 1994; Castañeda et al., 2009b). A third photosynthetic pathway, CAM (Crassulacean Acid Metabolism), found in succulents and involving CO<sub>2</sub> uptake and fixation at night, is not thought to be a significant component of the vegetation in this region (White, 1983). Furthermore, while atmospheric <sup>δ</sup><sup>13</sup>C has changed through the interval described in this study (Indermuhle et al., 1999), the changes have been minor (0.3‰ shift during the Holocene), and are within the mean replicate error of samples measured from Lake Victoria.

The <sup>δ</sup>D of plant compounds tracks the <sup>δ</sup>D of source water, with a biosynthetic fractionation (Sachse et al., 2004; Hou et al., 2008; Zhang et al., 2009; Polissar and Freeman, 2010; Zhou et al., 2011; Sachse et al., 2012). It has been demonstrated from isotopic investigation of water isotopes in the tropics that the <sup>δ</sup><sup>18</sup>O and <sup>δ</sup>D of rainfall is inversely correlated with the amount of precipitation in the tropics (Dansgaard, 1964; Rozanski et al., 1993), and thus in times of higher rainout there is a more D-depleted signal than in times of increased aridity. This relationship assumes that other factors that could potentially influence <sup>δ</sup>D of leaf waxes remain constant or can be constrained by other proxy data. Recent studies have demonstrated the utility of <sup>δ</sup>D in determining hydroclimate variability in Africa (Schefuß et al., 2005; Tierney et al., 2008; Niedermeyer et al., 2010; Tierney et al., 2011) and elsewhere (Yang and Huang, 2003; Makou et al., 2007; Liu et al., 2008; Li et al., 2011).

In order to use <sup>δ</sup>D as an independent aridity proxy, we must constrain changes in vegetation occurring over this interval and potential secondary effects to the <sup>δ</sup>D of leaf waxes. Apparent fractionation varies widely among C<sub>3</sub> plants (Hou et al., 2007, 2008), yet ecosystem-wide transect studies of plant wax <sup>δ</sup>D show a remarkably linear correlation with the isotopic composition of regional rainfall, across vegetation landscapes of varied species and C<sub>3</sub>/C<sub>4</sub> photosynthetic pathway (Sachse et al., 2004; Hou et al., 2008; Feakins and Sessions, 2010; Sachse et al., 2012). The consistency may imply that a regional integration of the isotopic fractionation is being preserved in the sediments and might mean differences in vegetation play a smaller role in <sup>δ</sup>D at

a broad spatial scale. Therefore, we assume that changes in precipitation played the largest role in shaping the <sup>δ</sup>D signal in this record.

### 3. Materials and methods

#### 3.1. Core sampling

Core V95-1P was collected in 1995 from the north central portion of the lake (01°13.9’S, 33°11.9’E) in 68 m water depth as part of the International Decade of East African Lakes (IDEAL) program. A total of 7 piston cores were recovered using a Kullenberg corer on this expedition, and we selected V95-1P for this study due to its robust age model, discussed below (Johnson et al., 2000). The sediments were in excellent condition and the entire length of piston core V95-1P was sampled (Fig. 1). There is an average sampling resolution ~250 years for the record of temperature history, and somewhat lower resolution for compound specific isotopes due to analytical time constraints.

#### 3.2. Core sedimentology and chronology

Core V95-1P consists of a crumbly-textured mud that, beginning at ~624 cm depth, grades into a more uniform, fine-grained mud with abundant aquatic diatoms in the upper 600 cm of the core (Talbot and Lærdal, 2000) (Fig. 1). The crumbly-textured mud is interpreted as a paleosol and shows indications of subaerial exposure (vertical cracks, rootlets) and few to no aquatic fossils. A second paleosol underlies this unit, separated by a thin horizon of silty mud with macrofossils that indicates a brief transgression between major desiccation events in the Lake Victoria basin in the Late Pleistocene (Johnson et al., 1996, 2000). The age model for the core was previously published in Johnson et al. (2000) based on radiocarbon dates on the 20–70 μm fraction of pollen–lignin–charcoal, and is here supplemented by the addition of new dates on bulk organic carbon and a wood fragment (Table 1 and Fig. 1). All ages are calibrated using CalPal (Weninger et al., 2011) and are reported in calibrated ka before present (ka). The uppermost sediments in the lake were not recovered due to over-penetration by the Kullenberg corer, and the age of the sediments in the core top is estimated to be 1000 years. Radiocarbon dates increase consistently with depth to 650 cm. Dates inconsistent with burial depth are associated with paleosols, which begin below ~624 cm, perhaps due to the addition of reworked older carbon or the growth of younger plant roots into older soil. The age model is based on linear interpolation between calibrated radiocarbon dates above 568 cm depth (14.3 ka), and is extrapolated to the youngest date into the paleosol below, represented by the dashed line in Fig. 1.

#### 3.3. Lipid extraction and separation

Freeze-dried, homogenized sediments (typically 1–3 g dry weight) were extracted using soxhlet extraction with 2:1 dichloromethane:methanol (DCM:MeOH) for 24 h to produce a total lipid

**Table 1**  
Summary of new <sup>14</sup>C ages from core V95-1P. Calibrated to calendar years using CalPal (Weninger et al., 2011).

Core	Section	Core depth (cm)	Absolute depth (cm below lake floor)	NOSAMS #	<sup>14</sup> C age	Calendar years	Material
LV95-1P	II	6.0–9	101.5	OS-77334	3060 ± 35	3288 ± 47	Sediment organic carbon
LV95-1P	V	77–81	473	OS-77335	10,000 ± 40	11,496 ± 142	Sediment organic carbon
LV95-1P	VIIa	55–58	650	OS-77351	14,450 ± 65	17,588 ± 250	Sediment organic carbon
LV95-1P	VIIb	22–24	714	OS-77314	13,600 ± 55	16,662 ± 291	Plant/wood fragment
LV95-1P	XII	24.5–26	772	OS-77350	17,300 ± 75	20,764 ± 289	Bulk organic carbon
LV95-1P	XIII	35–37	883	OS-77336	14,600 ± 60	17,901 ± 357	Bulk organic carbon

extract (TLE). All extractions were accompanied by an extraction blank that was taken through the entire procedure and tested for the presence of contaminants at each stage prior to analysis of samples. The TLE was then separated into neutral, free fatty acid, and phospholipid fatty acid fractions using an aminopropylsilyl bond elute column, cleaned prior to use with 10 mL successive rinses of MeOH followed by 1:1 DCM:2-propanol. Eight mL each of 1:1 DCM:2-propanol, 4% glacial acetic acid in distilled ethyl ether, and MeOH, were used with the cleaned columns to elute the neutral, free fatty acid, and phospholipid fatty acid fractions, respectively. Short column chromatography with activated alumina as the stationary phase was used to further separate the neutral fraction into apolar and polar fractions using 9:1 hexane:DCM followed by 1:1 DCM:MeOH as eluents for the two fractions, respectively. The polar fraction contains the GDGT lipids required for TEX<sub>86</sub> analysis. The polar fraction was filtered (0.45 μm filter), dried under N<sub>2</sub>, and then redissolved in 99:1 hexane:isopropanol for analysis. The apolar fraction containing *n*-alkanes was further separated into saturated and unsaturated hydrocarbons using Ag<sup>+</sup> impregnated silica gel column chromatography as described in Castañeda et al. (2007). The *n*-alkanoic (fatty acid) fractions were methylated using 5% BF<sub>3</sub> in methanol (100 °C for 2 h) to produce fatty acid methyl esters (FAME) (Wakeham and Pease, 1992). The resulting FAME fraction was then extracted using hexane and a NaCl solution, with residual water removed using sodium sulfate columns. FAMEs were further cleaned via extracted Si gel eluted with successive runs of DCM followed by hexane.

#### 3.4. TEX<sub>86</sub> temperature analyses and paleotemperature estimation

GDGTs were analyzed by high performance liquid chromatography/atmospheric pressure chemical ionization mass spectrometry (HPLC/MS) as described in Schouten et al. (2007). Briefly, GDGTs were analyzed using an Agilent 1100 series LC–MSD SL (Alltech Prevail Cyano column 150 × 2.1 mm; 3 μm) maintained at 30 °C for separation. Runs began with isocratic elution for 5 min, with a flow rate of 0.2 ml/min, followed by a linear gradient to 1.8% isopropanol in 45 min. Detection was achieved using atmospheric pressure positive ion chemical ionization mass spectrometry (APCI-MS) of the eluent.

Analytical error for the TEX<sub>86</sub> proxy has been shown to be relatively small, on par with analytical reproducibility of other temperature proxies (Schouten et al., 2007). Reproducibility for this study was determined using replicates from a series of East African Rift Lakes (*n* = 51 from Lakes Malawi, Turkana, Albert, and Victoria) run and integrated by the same operator on the same HPLC/MS system to minimize potential variability. The mean error of all duplicates provides a TEX<sub>86</sub> value of 0.007, representing an average uncertainty in temperature of ±0.3 °C using the calibration of Kim et al. (2010) as discussed below. Therefore, a standard analytical error of ±0.3 °C is plotted for all Lake Victoria TEX<sub>86</sub> values.

The relationship between mean annual lake surface temperatures (LST) and TEX<sub>86</sub> values are calibrated using the equation for marine sediments, TEX<sub>86</sub><sup>H</sup> (Kim et al., 2010) with a temperature calibration error estimation of ~2.5 °C based on 255 global surface sediment samples. Lake Victoria surface sediment samples plot anomalously warm in the global lake calibration plot (Powers et al., 2010), but yield LST similar to those of present day when using the global marine calibration line (Kim et al., 2010). We speculate that a calibration offset for Lake Victoria may be due to a lack of a permanent chemocline and anoxic deep water, similar to that of Lake Turkana, which also shows surface sediments that plot on the warm side of the global lake calibration curve and fit the marine TEX<sub>86</sub> calibration curve (Berke et al., 2012). Thaumarchaeota are known to be ammonia oxidizers (Konneke et al., 2005; Wuchter

et al., 2006) and therefore may be nourished by the proximity of an oxic and anoxic water boundary where turbulent mixing can provide an ample supply of ammonia across the chemocline (Konneke et al., 2005; Coolen et al., 2007; Blaga et al., 2011). In the absence of such conditions, such as in the oceans and in Lake Victoria, a different community of Thaumarchaea may dominate and generate GDGTs that more closely tracks the marine TEX<sub>86</sub> calibration curve.

#### 3.5. *N*-alkane identification, quantification, and δ<sup>13</sup>C analysis

*N*-alkanes were identified using an Agilent 6890 gas chromatograph (GC) coupled to an Agilent 5973 mass spectrometer (MS). An HP-1 capillary column (25 m × 32 mm × 0.5 μm) was used with He flow rates set at 2 ml/min. Quantification of *n*-alkanes was carried out using an Agilent 6890 GC with flame ionization detection (FID) using 5 $\alpha$ -androstane as an internal standard in all samples, with GC–MS and GC–FID programs described by Castañeda et al. (2009a).

An Agilent 6890N GC (60 m HP-1 column, 0.32-μm diameter, 0.25 μm film thickness) interfaced to Thermo Finnigan Delta<sup>Plus</sup> XP mass spectrometer was used to determine δ<sup>13</sup>C of *n*-alkanes. The GC temperature program began at 50 °C and increased at a rate of 50 °C/min to 180 °C and next at a rate of 3 °C/min to 320 °C. The final temperature of 320 °C was held for 6 min. The *n*-alkanes separated by the GC column were oxidized at 940 °C and converted to CO<sub>2</sub>. A standard mixture of *n*-alkanes of known δ<sup>13</sup>C values was analyzed multiple times daily (“Mix-A”, C<sub>16</sub>–C<sub>30</sub> provided by Dr. Arndt Schimmelmann, Indiana University), and based on these replicate measurements, typical precision of the δ<sup>13</sup>C measurements were ±0.5‰ (1σ). Each sample was run at least in duplicate, co-injected with squalane as an internal standard to monitor reproducibility of measurements, found to be better than ±0.5‰. Replicates were analyzed and are plotted individually for each *n*-alkane sample, with a mean error of ±0.3‰ for C<sub>29</sub> duplicates. All δ<sup>13</sup>C values are reported as per mil deviations from Vienna Pee Dee Belemnite (VPDB) standard using conventional delta notation.

#### 3.6. δD analysis

*N*-alkanes are in fairly low abundance in Lake Victoria samples, which prohibited the analysis of both δ<sup>13</sup>C and δD, thus another class of leaf wax compounds, *n*-alkanoic acids, was used for δD analysis. While more abundant than *n*-alkanes, limited abundances of *n*-alkanoic acids in these sediments precluded the additional analysis of δ<sup>13</sup>C on this compound following δD analysis. Simultaneous methylation of fatty acid fractions with a palmitic acid standard of known value (a non-methylated palmitic acid standard was independently measured at the University of South Florida) was carried out in order to determine the isotopic composition of the attached methyl group, which was corrected for using a mass balance calculation. δD was also corrected for changes in ice volume and subsequent shifts in the δD of sea water in a manner previously described (Konecky et al., 2011; Tierney et al., 2011), assuming the LGM ocean was 1‰ more <sup>18</sup>O-enriched than present (Schrag et al., 1996) and adjusting shifts through time to the ice volume changes shown in the LR04 benthic oxygen isotope stack (Lisiecki and Raymo, 2005).

FAMEs were identified using GC/MS and quantified using GC–FID as described above for *n*-alkanes. δD of FAMEs was determined using a MicroMass IsoPrime GC–IRMS with instrumental conditions outlined in Grice et al. (2008). H<sub>2</sub> gas of known isotopic value and high purity grade was injected as a reference gas standard and H<sup>3+</sup> correction factor was monitored daily. A mixture of 6 FAMEs of known isotopic composition was run between samples to monitor instrument accuracy. Analyses of *n*-alkanes were

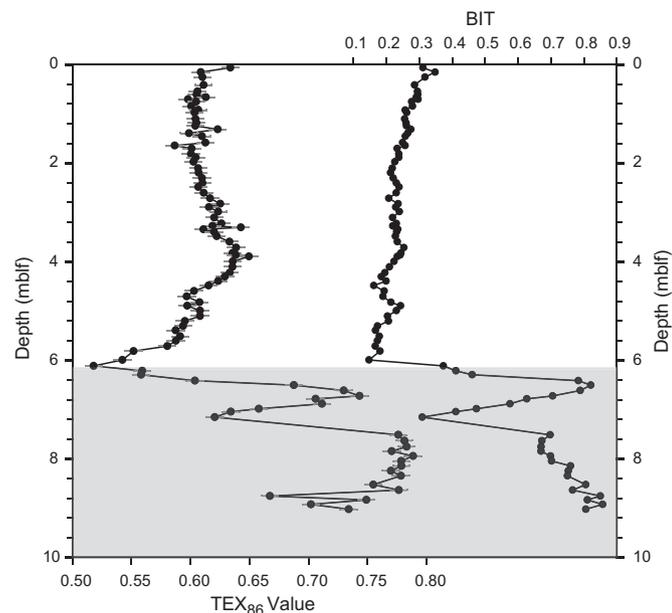
performed in duplicate or triplicate, with a mean error for all samples of  $\pm 4\%$ . All values reported here are averages of these measurements.  $C_{28}$  was the most abundant FAME homolog measured at Lake Victoria and is hereafter referred to as  $\delta D$ . This study will focus on the isotopic composition of just the most abundant homolog, an approach taken previously (Schefuß et al., 2005; Tierney et al., 2008; Konecny et al., 2011; Tierney et al., 2011) due to the lack of consistently measured  $C_{26}$  (the second most abundant homolog) or  $C_{30}$  (the third most abundant) downcore. All  $\delta D$  values are reported as per mil deviations from Vienna Standard Mean Ocean Water (VSMOW) in conventional delta notation.

## 4. Results

### 4.1. The $TEX_{86}$ paleotemperatures

The  $TEX_{86}$  values of core V95-1P exhibit significant variations, ranging from  $\sim 0.50$ – $0.80$  (Fig. 2). We note what appears to be the largest variability of the record in the paleosols that formed during the Late Pleistocene. The BIT index (Hopmans et al., 2004), with values ranging from  $\sim 0.9$  to  $0.4$  below  $600$  cm (Fig. 2), indicates a significant contribution of soil lipids, and therefore  $TEX_{86}$  in this interval is an unreliable indicator of past lake temperatures (Weijers et al., 2006; Blaga et al., 2009; Powers et al., 2010). Previously described paleosols and suspect chronology prior to  $15.2$  ka (Johnson et al., 1996, 2000) coincide with this interval of terrestrially-influenced lipids and  $TEX_{86}$  temperatures (Fig. 2), and lead us to rely on  $TEX_{86}$  temperatures only in the lacustrine sediment preserved in the core, dating from  $15.2$  ka to  $1$  ka. The remainder of this paper will focus only on the proxy records from sediments deposited after the Late Pleistocene desiccation of Lake Victoria, with a sampling interval  $\sim 200$  years.

Above the paleosols, Lake Victoria  $TEX_{86}$  temperatures fluctuate  $\sim 6^\circ C$ , ranging from  $\sim 19^\circ C$  at  $\sim 15.2$  ka to  $\sim 25^\circ C$  at  $9$  ka (Fig. 3).



**Fig. 2.** Down-core records of  $TEX_{86}$  and reconstructed lake temperatures and the BIT Index from Lake Victoria plotted versus depth in meters below lake floor (mblf). Prior to  $\sim 15.2$  ka, BIT Index values are generally higher than  $\sim 0.35$ , suggesting significant terrestrial soil input (shaded region). This substantial soil influence precludes the use of the  $TEX_{86}$  as a paleothermometer prior to  $15.2$  ka and supports previous paleosol interpretation of this portion of the core (Johnson et al., 1996; Johnson et al., 2000). Error bars (gray lines) indicate pooled mean error for a large number of  $TEX_{86}$  replicates from East African lakes as described in the method section.

The  $TEX_{86}$  record indicates a gradual warming from the late Pleistocene to  $\sim 9$  ka, with a brief pause between  $\sim 12.5$  and  $11.4$  ka interrupting the warming trend. There is a general plateau in warming centered at  $\sim 9$  ka, followed by a gradual decrease in temperatures from the early to mid-Holocene. This gradual cooling of  $\sim 2.5^\circ C$  ends during an interval centered on  $4.5$ – $4$  ka, reaching the lowest temperatures of the Holocene,  $\sim 4^\circ C$  warmer than the Pleistocene  $TEX_{86}$  minimum. The temperatures gradually increase by an average of  $\sim 0.5^\circ C$  for the remainder of the record.

### 4.2. The $\delta^{13}C$ *n*-alkane record

The  $\delta^{13}C$  record relies on the values of the  $C_{29}$  *n*-alkane, the second most abundant homolog in the Lake Victoria sediments, which showed a trend similar to other measured long chain *n*-alkanes. Reliable analysis of  $C_{31}$ , the most abundant homolog, was hindered by the co-elution of another compound, thus giving much larger error bars (though similar trends), while  $C_{33}$  (the third most abundant homolog) contains a similar trend to  $C_{29}$  described here. The  $\delta^{13}C$  data display a range from  $-23\%$  to  $-27\%$ , with no significant long term trend throughout the record (Fig. 4). We observe the most stable portions of the record, containing the least  $\delta^{13}C$  variability, during the late Pleistocene ( $\sim 14$ – $12$  ka) and middle Holocene ( $\sim 7$ – $4.7$  ka). There are two intervals which deviate from the overall trend, with excursions of as much as  $4\%$   $^{13}C$ -depletion from  $10$  to  $8$  ka and from  $\sim 4.7$  to  $2.6$  ka.

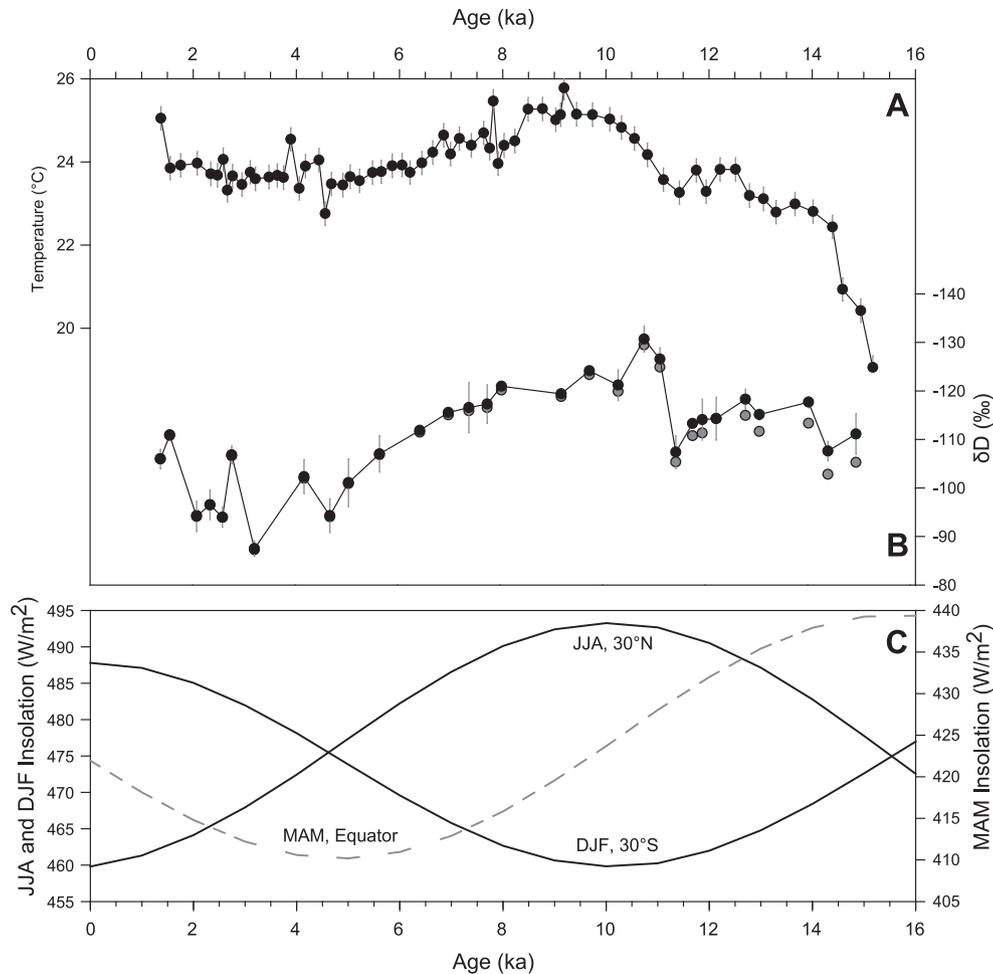
### 4.3. The $\delta D$ FAME record

The ice volume corrected  $\delta D$  record of the  $C_{28}$  fatty acid from Lake Victoria (Fig. 3) shows a  $\sim 35\%$  isotopic change, ranging from the most D-enriched values  $\sim -90\%$  in the late Holocene to the most D-depleted values,  $\sim -128\%$  at  $10.8$  ka (Fig. 3). D-depletion occurs from  $\sim 15$  ka until  $\sim 12.8$  ka, where the record shows a brief reversal of this trend, with D-enrichment of  $9\%$  until  $11.4$  ka. This is followed by the most abrupt shift to depleted values of  $\delta D$  in the entire record,  $\sim 23\%$  in  $600$  years. Much of the rest of the  $\delta D$  history of the basin is composed of a gradual D-enrichment trend throughout the mid – late Holocene, reaching the most D-enriched values of this record ( $\sim 90\%$ ) between  $4.7$  and  $2$  ka, after which the trend is reversed toward more D-depleted values.

## 5. Interpretation and discussion

### 5.1. Interpretation of $\delta D$ at Lake Victoria as a signal of precipitation amount

We interpret the  $\delta D$  of leaf wax as a proxy of rainfall amount in the Lake Victoria basin. While the primary influences on the isotopic composition of rainfall have been attributed to temperature, latitude, evaporation, rainfall amount, altitude, and continental effects (Dansgaard, 1964), only amount, altitude and continental effects have significant importance at low latitudes (Rozanski et al., 1993; Levin et al., 2009). The influence of temperature on  $\delta D$  of precipitation is considered negligible in the tropics (Rozanski et al., 1993). The magnitude of temperature change seen in the Victoria record ( $\sim 6^\circ C$ ) could only account for the kinetic fractionation of  $<10\%$   $\delta D$  (Majoube, 1971), far less than the almost  $40\%$  change observed in Lake Victoria sediment core. Relative humidity of the air can potentially influence the  $\delta D$  of leaf wax. Tierney et al. (2011) provides a convincing argument for humidity having minor impact on the  $\delta D$  record from a sediment core from Lake Challa, Tanzania. It is even less likely that changes in relative humidity at Lake Victoria played a major role in the  $\delta D$  observed. Relative humidity today fluctuates between daily highs of  $\sim 95\%$



**Fig. 3.** (A) TEX<sub>86</sub> paleotemperatures and (B)  $\delta D$  of the C<sub>29</sub> leaf wax fatty acid methyl ester (FAME) with ice volume  $\delta D$  corrected (black circles) and uncorrected  $\delta D$  (gray circles) shown. Error bars (gray lines) are mean error of replicated analyses for each sample ( $\delta D$ ) or pooled mean error for a large number of replicates from East African lakes (TEX<sub>86</sub>), with an error bar smaller than the symbol in some instances. (C) Insolation curves for June–July–August (JJA) and December–January–February (DJF), showing seasonal contrast between Northern (30°N) and Southern (30°S) Hemispheres. March–April–May (MAM) is presently the season of maximum precipitation delivery to Lake Victoria; MAM insolation at the equator is depicted by the dashed line.

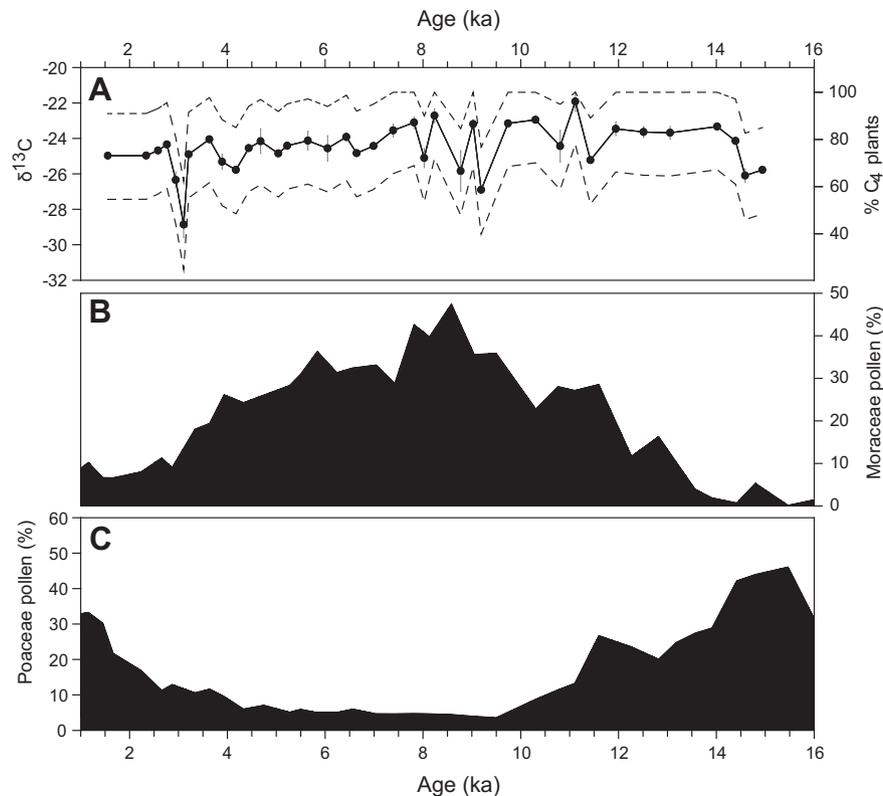
and lows of  $\sim 75\%$  at Entebbe, Uganda on the shoreline of Lake Victoria (Kalnay et al., 1996). Hou et al. (2008) found  $\sim 10\%$  decrease in apparent fractionation for each  $\sim 20\%$  increase in humidity from a recent transect study. It is unlikely that there was more than  $\sim 5\text{--}10\%$  higher humidity in the Victoria basin in the early Holocene compared to its already high range of values today, and this would yield just a  $5\%$  shift in  $\delta D$ , again, much smaller than the observed range of  $40\%$  in the Victoria record.

Leaf wax  $\delta D$  has been utilized in a variety of different proxy capacities in previous paleoclimate studies around Africa, depending on the precise location and timescale of interest. The most commonly cited interpretations of the  $\delta D$  proxy in Africa is one of precipitation amount (Schefuß et al., 2005; Tierney et al., 2008; Niedermeyer et al., 2010), moisture source variability (Konecky et al., 2011), and monsoonal intensity (Tierney et al., 2011). Moisture source is likely to have remained consistently from the Indian Ocean at Lake Victoria (Rozanski et al., 1996). The CAB's eastward migration is very likely limited to the rift mountains to the west of Lake Victoria. And given its equatorial setting, the lake is likely to have always had a twice annual passage of the ITCZ, keeping a bimodal rainfall pattern (Verschuren et al., 2009). Lake Victoria is too far removed from the effects of the South African air masses that can influence Lake Malawi (Konecky et al., 2011). Lastly, while there may be minor influence from Indian Monsoonal

intensity and factors related to the atmospheric convection of moisture to Lake Victoria (Tierney et al., 2011), we conclude that rainfall amount is the primary control on  $\delta D$  at Lake Victoria because of the strong agreement with pollen records and coincidence with known arid events in East Africa, as further discussed in Section 5.4.

## 5.2. Precipitation and temperature trends from Lake Victoria and around tropical Africa

The molecular and isotopic records from Lake Victoria indicate a fairly coherent history of hydroclimate and temperature, consistent with theorized linkages in the African tropics (Jolly et al., 1998). Warm and wet conditions prevail in the early Holocene, peaking at  $\sim 10\text{--}9$  ka, with cool, drier conditions in the late Holocene, centered on  $\sim 4\text{--}3.5$  ka. The hydrologic implications of our molecular analyses are generally consistent with past studies of Lake Victoria. Early model results first suggested 20% more precipitation at 9 ka than at present for Lake Victoria, in keeping with the direction and magnitude of Holocene shift seen in the  $\delta D$  record and other Precipitation:Evaporation (P:E) estimates (Hastenrath and Kutzbach, 1983). A diatom-inferred moisture balance also suggests a high lake level between 11 and 8 ka for Lake Victoria (Stager and Mayewski, 1997). Furthermore, Beuning et al.



**Fig. 4.** Comparison between the molecular vegetation proxy record,  $\delta^{13}\text{C}$ , and a previously published pollen record from northern Lake Victoria (Kendall, 1969): (A)  $\delta^{13}\text{C}$  of  $\text{C}_{29}$  n-alkane, with error bars (gray lines) that indicate the mean error of replicate analyses. The percentage of  $\text{C}_4$  plants, as estimated using a two end member mixing model is shown on the right axis, with dashed lines that represent one standard deviation from the  $\text{C}_4$  plant percentage estimate, as determined using  $\delta^{13}\text{C}$  from literature documented values of  $\text{C}_3$  and  $\text{C}_4$  plants (Castañeda et al., 2009b); (B) the relative abundance of Moraceae (trees/shrubs) and (C) Poaceae (grasses), the two most abundant pollen types at Lake Victoria.

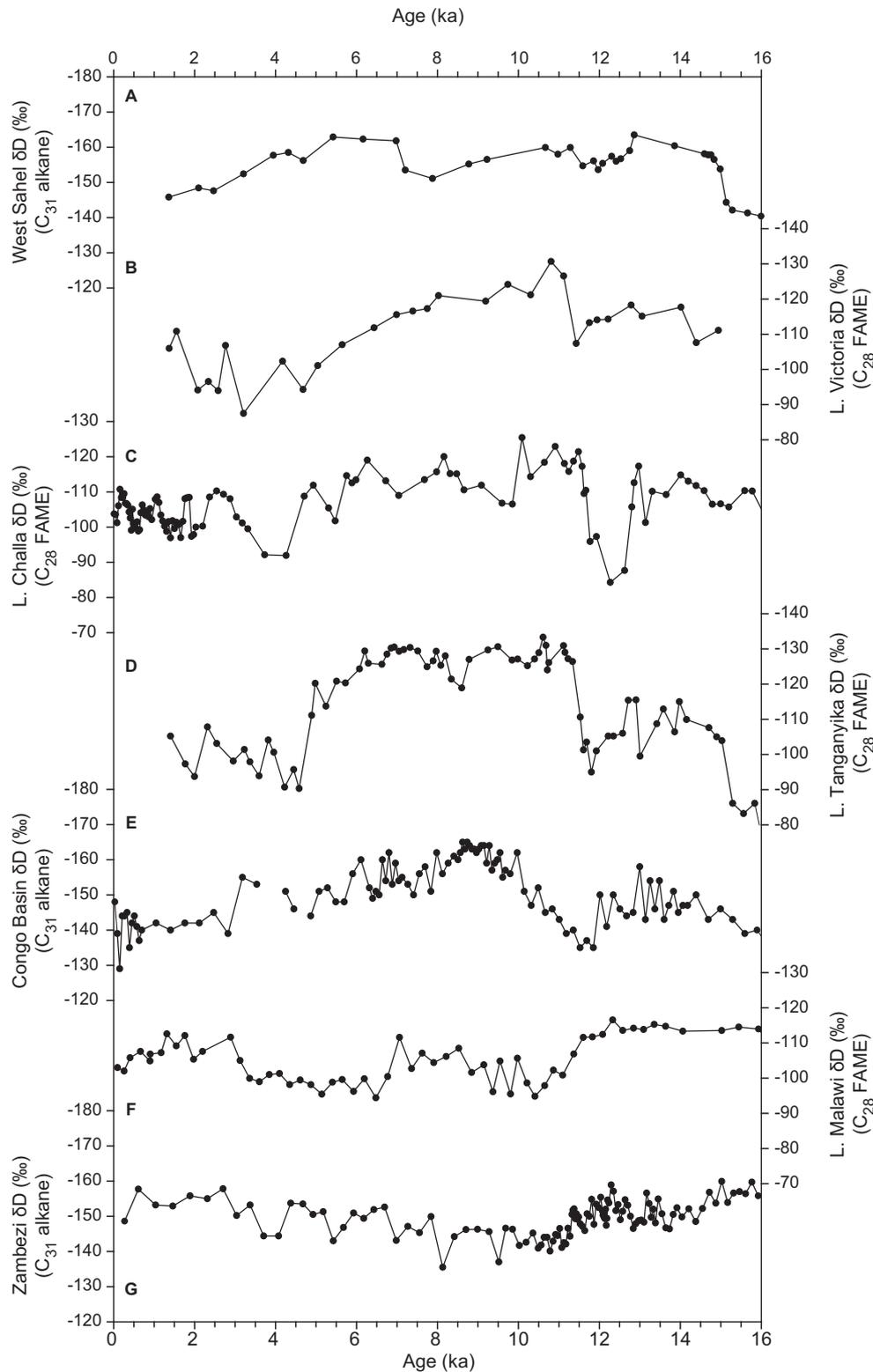
(1997b, 2002) estimated lake water  $\delta^{18}\text{O}$  from aquatic cellulose in nearby Lake Victoria sediment cores and found a shift to more  $^{18}\text{O}$ -depleted values during the early Holocene and  $^{18}\text{O}$ -enrichment during the late Pleistocene, interpreted as a response to wetter and more arid conditions, respectively. These results are consistent with the timing of  $\delta\text{D}$ -inferred high precipitation during the early–mid Holocene (Fig. 5).

The early–mid Holocene high precipitation interval at Lake Victoria aligns with a well-documented wet period throughout North Africa and the equatorial tropics (Gasse, 2000). For instance, Lake Kivu, another equatorial lake near Lake Victoria, experienced high lake levels during the early Holocene (Gasse, 2000), concomitant with increased precipitation in the Lake Victoria region. Recent investigations of leaf wax  $\delta\text{D}$  in the sediments of Lake Challa (Tierney et al., 2011) and Lake Tanganyika (Tierney et al., 2008) are generally consistent with prior estimates of water balance in these lakes (Gasse et al., 1989; Beuning et al., 1997a) and the Lake Victoria record of  $\delta\text{D}$  (Fig. 5). Lake Challa is the most proximal  $\delta\text{D}$  record to Lake Victoria, and it captures a similar overall hydroclimate pattern, interpreted to be a proxy for the East African Monsoon (EAM) intensity, though with minor offsets in timing between events seen in this record and Lake Victoria and with an early–mid Holocene that does not show as clear a record of progressive D-enrichment (Tierney et al., 2011). Similarly, this pattern extends to central West Africa where coeval shifts between wet and dry periods occurred in the Congo Basin (Schefuß et al., 2005). A record of  $\delta\text{D}$  from a marine sediment core taken off the west coast of the African Sahel shows broadly consistent hydroclimate patterns with Lake Victoria until  $\sim 8$  ka (Niedermeyer et al., 2010). A  $\delta\text{D}$  record from in the Mozambique Channel draining the

Zambezi River has a wetter interval during the YD, indicating  $\delta\text{D}$  is likely controlled by a southward shift of the ITCZ during Northern Hemisphere cold events (Schefuß et al., 2011). The magnitude of isotopic shift seen in the Lake Victoria  $\delta\text{D}$  record is also in keeping with other records of leaf wax  $\delta\text{D}$  in tropical Africa during latest Pleistocene–Holocene, a significant  $\sim 30$ – $40\%$  change (Schefuß et al., 2005; Tierney et al., 2008; Konecky et al., 2011; Tierney et al., 2011).

Hydroclimate results from Lake Victoria do not appear to closely match those from Lake Malawi (Fig. 5). Cumulative results suggest that the climate behavior of Lake Malawi during the Holocene was anti-phased with much of the rest of tropical Africa, presumably due its more southerly position (Barker and Gasse, 2003). Various lines of evidence including sediment composition (Finney et al., 1996), pollen (DeBusk, 1998) and n-alkane  $\delta^{13}\text{C}$  (Castañeda et al., 2007) indicate a drier early Holocene when compared to the Late Holocene at the Lake Malawi basin in phase with cool temperatures. Additionally, the  $\delta\text{D}$  record from Lake Malawi (Fig. 5) is not consistent with the history of rainfall amount inferred from the  $\delta^{13}\text{C}$  record of n-alkanes, however, but instead reflects a complex history of shifting atmospheric circulation patterns and sources of moisture (Konecky et al., 2011).

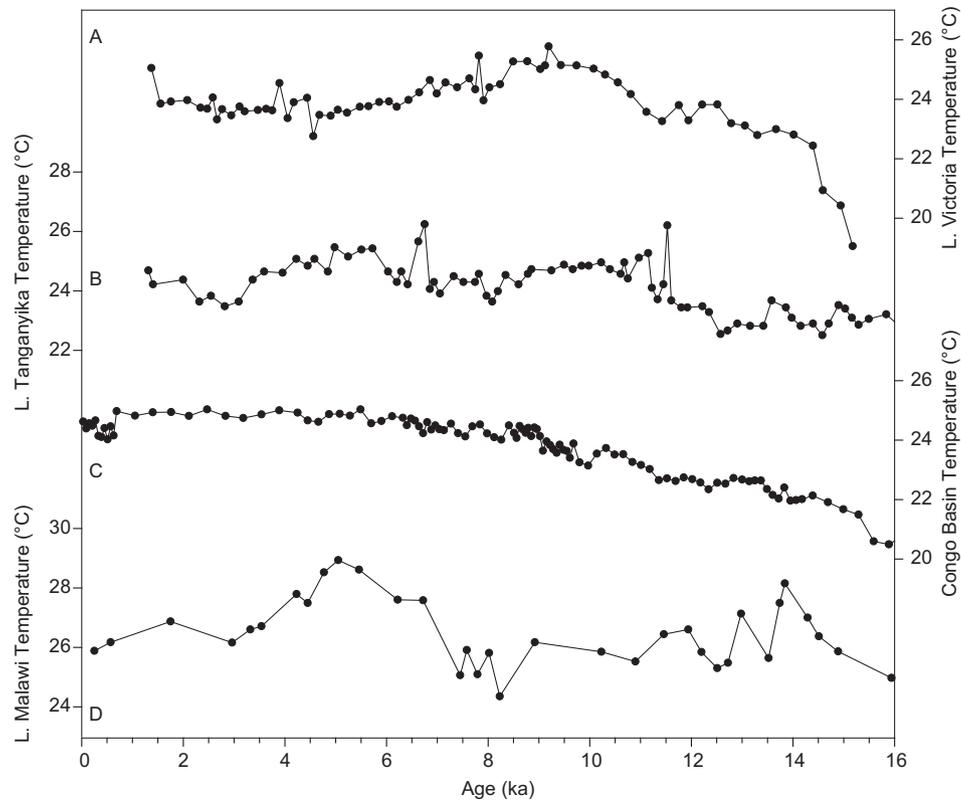
$\text{TEX}_{86}$  temperature reconstructions from Lake Victoria (this study), Lake Malawi (Powers et al., 2005), Lake Tanganyika (Tierney et al., 2008) and an MBT/CBT record from the Congo Basin (Weijers et al., 2007) spanning this interval indicate temperatures are not as consistent as rainfall records in tropical Africa (Fig. 6). While inter-lake comparisons of absolute temperature are tentative due to their individual calibrations of  $\text{TEX}_{86}$  to temperature, the temperature of Lake Victoria appears to be significantly cooler than Lake



**Fig. 5.** Comparison of African compound specific  $\delta D$  records with the compound analyzed for each record: (A) West Sahel/Senegal River drainage (GeoB9508-5) (Niedermeyer et al., 2010); (B) Lake Victoria  $\delta D$  of  $C_{28}$  FAME (this study); (C) Lake Challa of  $C_{28}$  FAME (Tierney et al., 2011); (D) Lake Tanganyika  $\delta D$  of  $C_{28}$  FAME (Tierney et al., 2008); (E) Congo Basin (central West Africa)  $\delta D$  of  $C_{29}$   $n$ -alkane (Schefuß et al., 2005); (F) Lake Malawi  $\delta D$  of  $C_{28}$  FAME (Konecky et al., 2011); (G) Zambezi River catchment (GeoB9307-3) (Schefuß et al., 2011).

Tanganyika or Lake Malawi at 15 ka, perhaps because of its higher elevation (1133 m above sea level (masl) for Victoria versus 773 and 437 masl, respectively, for the other two lakes). The Congo Basin record is one of gradual warming throughout the Late Pleistocene

and Holocene, and the Lake Tanganyika history of temperature displays maximum warmth around 10 ka and around 5–6 ka, with brief excursions to warm temperatures at about 11.5 ka and 7 ka. The  $TEX_{86}$  temperature record from Lake Malawi at the southern



**Fig. 6.** Molecular paleotemperature records from Africa: (A) Lake Victoria TEX<sub>86</sub> temperatures (this study); (B) Lake Tanganyika TEX<sub>86</sub> temperatures (Tierney et al., 2008); (C) Congo Basin (central West Africa) Methylation index of Branched Tetraethers (MBT) mean annual air temperature (Weijers et al., 2007); (D) Lake Malawi TEX<sub>86</sub> temperatures (Powers et al., 2005).

extent of the East African Rift Valley exhibits trends strongly contrasting with the record from Lake Victoria, with a relatively cool early Holocene and the warmest conditions centered at 5 ka (Powers et al., 2005).

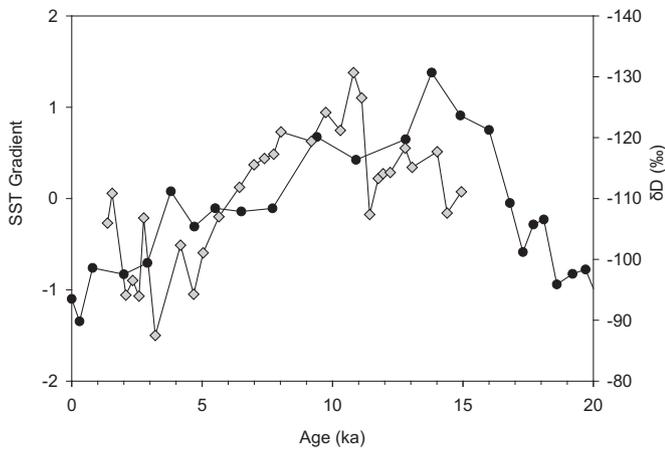
The warm and sustained high precipitation interval during the early Holocene, followed by a gradual end to these conditions into the late Holocene likely reflects the end of the African Humid Period (AHP), the magnitude and timing of which were transgressive across Africa. The AHP (~14.5–5.5 ka) was a humid interval documented around much of North and East Africa, in some cases terminating in an abrupt shift to arid conditions (deMenocal et al., 2000) and in other cases, a more gradual shift (Niedermeyer et al., 2010; Vincens et al., 2010). While Lake Victoria  $\delta D$  and TEX<sub>86</sub> indicates a gradual shift to drier, cooler conditions until the late Holocene, other African locations show similar conditions developing, but with varied timing. While the absolute age from an ice core from Mt. Kilimanjaro is debated, it is interesting to note that it contains a thick dust layer ~4 ka, interpreted to be from sizable dust storms due to regionally extensive aridity (Thompson et al., 2002). This dust signal is also found in the eastern Mediterranean and in the Gulf of Oman (Dalfes et al., 1997; Magny et al., 2009). Low lake levels and nitrogen isotopic composition of bulk organic matter indicate a laterally continuous transect of dry conditions around tropical Africa, from records at Lake Bosumtwi, Ghana (Talbot et al., 1984; Russell et al., 2003) to Lake Abhé in Ethiopia (Gasse, 1977). Lake Tanganyika shows significant cooling at 3.6–2 ka, but pronounced drying took place earlier, at ~5 ka (Tierney et al., 2008). Berke et al. (2012) highlights a regional warming of varying magnitude within temperature records from Lakes Turkana, Tanganyika (Tierney et al., 2008), and Malawi (Powers et al., 2005), which is manifested in the ice core  $\delta^{18}O$  record from Mt. Kilimanjaro as well (Thompson et al., 2002). While

Lake Victoria indicates a gradual climate shift during the Holocene, there is no associated excursion to warmer conditions near the end of the AHP as seen in other TEX<sub>86</sub> records.

Just as Lake Victoria is anomalous in its lack of maximum Holocene temperature ~5 ka, it also displays far less response to the Younger Dryas (YD) cold period ~12.5–11.8 ka than other East African lakes. There appears to be a subtle thermal and hydrological response recorded in Lake Victoria during the YD, which is more pronounced elsewhere around tropical East Africa (Castañeda et al., 2007; Talbot et al., 2007; Gasse et al., 2008; Verschuren et al., 2009; Sinninghe Damsté et al., 2011; Tierney et al., 2011). The records at Lake Victoria display a pause or slight reversal in the trends of warming and D-depletion between 12.2 ka and 11.4 ka, which likely coincide with the YD, given the range of uncertainty in radiocarbon chronology. While subtle, the Victoria YD signal is reflected more in the  $\delta D$  than in the TEX<sub>86</sub> profile, as is the case for Lake Tanganyika (Figs. 6 and 7) (Tierney et al., 2008). Following the termination of the YD, there is a significant shift to warmer, wetter conditions at Lake Victoria.

### 5.3. Terrestrial vegetation response to climate changes at Lake Victoria

The shifts in  $\delta^{13}C$  do not appear to vary coherently and suggest changes in climate are having little effect on the balance between C<sub>3</sub> and C<sub>4</sub> plants that is being recorded by *n*-alkanes in the sediments of the Victoria basin (Fig. 4). A simplified two-end member mixing model is applied to the  $\delta^{13}C$  data to estimate the relative contributions of C<sub>4</sub> and C<sub>3</sub> plants, using the C<sub>29</sub> *n*-alkane abundances and assuming average  $\delta^{13}C$  values of  $-21.4\text{‰}$  and  $-34.7\text{‰}$  respectively (Collister et al., 1994; Castañeda et al., 2009b). Based on this estimation, the Lake Victoria region has a C<sub>4</sub>-dominated



**Fig. 7.** Indian Ocean SST west–east (W–E) gradient (black circles) determined using alkenone SSTs from the eastern Indian Ocean (Mohtadi et al., 2010) and western Indian Ocean (Bard et al., 1997). Lake Victoria leaf wax  $\delta D$  shown for comparison (gray diamonds).

(~70–90%) landscape throughout the latest Pleistocene and Holocene (Fig. 4). There is significant uncertainty associated with the percentage of  $C_4$  plants estimated using the simple mixing model (Fig. 4). Using the standard deviation for  $n$ -alkane  $\delta^{13}C$  of  $C_3$  and  $C_4$  plants, the largest of which is 2.6‰ (Castañeda et al., 2009b), there is an associated error in percentage of  $C_4$  plants of  $\pm 20\%$ .

The  $\delta^{13}C$  record provides few clues as to the changes occurring in the terrestrial ecosystem surrounding Lake Victoria, while the pollen records of Kendall (1969) and Beuning (1999) provide ample evidence of changing vegetation that accompanied the climate history in this region (Fig. 4). The percentage of moist forest (tree/shrub) pollen (Moraceae, Urticaceae, Alchornea, Macaranga, and Trema), composed overwhelmingly of Moraceae, indicates a record-maximum of 66% humid species pollen at 8.6 ka (Stager et al., 2003), which correlates well to the warm, D-depleted interval described here. Low abundances of Poaceae (grass) pollen occur throughout this interval, as might be expected if the majority of these grasses are  $C_4$ . Previous research indicates that the overwhelming majority (>90%) of modern grasses in East Africa are  $C_4$  grasses (Livingstone and Clayton, 1980; White, 1983). Cyperaceae (sedges), the second most abundant  $C_4$  plant group globally, could influence the  $\delta^{13}C$  record. However, low fossil Cyperaceae pollen abundances at Lake Victoria roughly align with the low fossil Poaceae pollen abundances (Kendall, 1969) and periods of relative D-depletion. Although there are some semi-aquatic  $C_3$  Cyperaceae species found in Africa, high Cyperaceae abundance has been linked to prograding shoreline and swamps due to increased aridity (Kendall, 1969; Gasse and Van Campo, 1994), which would agree well with our interpretation of this interval.

There are relatively few studies that focus on both pollen and lipid  $\delta^{13}C$  results to reconstruct vegetation changes and in those studies that have attempted a correlation, it is often noted that a close match between records might not be seen in light of the differences in production, transport, supply, and deposition of lipids and pollen. Niedermeyer et al. (2010) found  $\delta^{13}C$  of leaf waxes from a marine core off the northwest coast of Africa that did not vary with large shifts in  $\delta D$  of leaf waxes and attributed this response to a change in seasonality of rainfall, where a drier rainy season was not enough to support  $C_3$  vegetation. This interpretation does not appear to be supported at Lake Victoria based on the pollen evidence available. However, we can envision a few possible hypotheses to explain these puzzling results. Firstly, there may be potential bias inherent in pollen or  $\delta^{13}C$   $n$ -alkane records being

sourced from the same region. Pollen results can be skewed toward plants producing larger amounts of pollen, those plants not toward the edge of their reproductive viability or toward those pollinated by the wind, while some are clonal, with delayed pollen production (Prentice and Webb, 1986). Similarly, leaf wax results can be skewed, due to varied homolog distributions and  $\delta^{13}C$  of different plant species (Rommerskirchen et al., 2006; Vogts et al., 2009; Diefendorf et al., 2011) as well as varying proclivity toward wax melt and abrasion of specific  $n$ -alkane homologs (Rommerskirchen et al., 2003). Additionally, there is evidence of large differences in the timing of leaf wax synthesis for grasses, which show continuous annual production (Smith and Freeman, 2006; Sachse et al., 2010), and trees, with a finite annual production (Mortazavi et al., 2009; Kahmen et al., 2011), which is likely to alter input to the sediments. Further, transport of leaf waxes has been shown to be size fraction-dependent, with  $C_4$ -derived carbon preferentially incorporated into the finer transported fraction, and therefore likely to travel farther distances than the  $C_3$ -derived carbon associated with coarser transported fraction (Bird and Pousai, 1997). However, the argument that pollen and lipid wax results are recording different source regions must also be considered. Farrimond and Flanagan (1996) found inconsistency between pollen and lipid records and suggested that this was due to lipids recording a more local signal of immediate vegetation while pollen represented a wider, regional assemblage. Still and Powell (2010) analyzed vegetation patterns using MODIS Vegetation Continuous Fields (VCF) maps generated for Africa and found that the majority of modern vegetation around Lake Victoria is  $C_3$  (~90–100%), while the southeastern portion of the region is dominated by  $C_4$  plants (~75–100%). We speculate that within the constraints of the spatial resolution of this MODIS VCF map, the generally southeasterly winds (Levin et al., 2009) over the lake may preferentially move leaf waxes from the  $C_4$  dominated landscape into the basin while pollen in this environment may provide a more regional vegetation perspective, though further analyses are needed to support this claim. Thus, while pollen percentages have non-linear relationships with vegetation abundance, and cannot be directly related to species abundances or biomass (Prentice and Webb, 1986), the similar trends of pollen and hydroclimate at Lake Victoria support the use of pollen as a regional vegetation proxy, while there is no straightforward relationship between  $\delta^{13}C$  and regional vegetation around Lake Victoria.

#### 5.4. Possible controls on $\delta D$ beyond those of regional aridity at Lake Victoria

Comparison of  $\delta D$  from Lake Victoria with the history of regional aridity from lake level indicators (Gasse, 2000; Gasse et al., 2008) demonstrates striking similarities, and suggests that  $\delta D$  reflects changes in moisture balance in the area. But another potentially significant control on  $\delta D$  is the variation in the magnitude of D/H fractionation by different vegetation types. We observe a strong parallel trend in the pollen and  $\delta D$  records in Lake Victoria, albeit without an accompanying trend in  $\delta^{13}C$  of the plant leaf waxes. This raises the question of whether the  $\delta D$  record of core V95-1P is mainly due to the effects of changes in rainfall or vegetation. We interpret the  $\delta D$  record as one of rainfall, partly because the shifts in  $\delta D$ , such as at 11.4 ka, do not align precisely with shifts in the dominant pollen. In addition, recent studies have shown that the difference in apparent fractionation of  $\delta D$  between  $C_3$  trees and  $C_4$  grasses may in fact be small, or perhaps negligible (Tierney et al., 2010). Hou et al. (2008) found that a greenhouse grown  $C_4$  grass (*Zea mays*) had an apparent fractionation of  $-127 \pm 6\%$  for the  $C_{28}$  fatty acid, while  $C_3$  trees showed less apparent fractionation,  $\sim -114 \pm 8\%$  for the  $C_{28}$  fatty acid. Furthermore, the integrated apparent fractionation signal of  $\delta D$  in plant leaf waxes appears to be

relatively constant along field transects of widely varied vegetation types, both in Europe (Sachse et al., 2004; Hou et al., 2008) and in the southwestern USA (Hou et al., 2008). A transect of surface sediments from lakes across Cameroon (west Central Africa) found that  $\delta D$  of long chain *n*-alkanes served well as a proxy of regional source water, and that changes between  $C_3$  and  $C_4$  photosynthetic pathway could not explain variations seen in  $\delta D$  (Garcin et al., 2012). In Lake Victoria, as illustrated by pollen analyses, our  $\delta D$  record spans changes from a Moraceae-dominated ( $C_3$  trees/shrubs) landscape to a Poaceae-dominated ( $C_4$  grasses) landscape. If  $\delta D$  were an artifact of vegetation changes, a shift to a  $C_3$  tree-dominated environment, such as during the early–mid Holocene at Lake Victoria, would reduce the apparent fractionation, shifting to less D-depleted values, which is not seen. These results support the independence of  $\delta D$  from vegetation changes in this record. We therefore attribute primary control on the variability in  $\delta D$  seen at Lake Victoria to changes in the regional hydroclimate, with little influence by changes in terrestrial vegetation.

## 6. Possible climate controls and implications

### 6.1. Insolation and ENSO forcing at Lake Victoria

There is a long-standing debate regarding the relative input of Indian versus Atlantic Ocean moisture to East African lakes on millennial time scales. Atlantic Ocean moisture arrives in East Africa after being recycled through the West African Congo Basin (Schefuß et al., 2003). Oceanic moisture is transported by either the West African or Indian monsoon system, whose relative contribution is determined in large part by the movement of the CAB, system strength, and topography (Nicholson, 1996; Levin et al., 2009). Modern isotopic studies of meteoric waters and remote radar precipitation data indicate that strong easterly to southeasterly winds bring rainfall to Kenya, and implicate the Indian Ocean as the primary supply of Lake Victoria moisture (Levin et al., 2009). This supports previous investigations which found strong connections between Indian Ocean zonal atmospheric circulation and the level of Lake Victoria (Bergonzini et al., 2004) and between East African rainfall anomalies and western Indian Ocean SST and the atmospheric jet (Hastenrath et al., 1993). Although we note similarities between the record of rainfall presented here and that from the Congo Basin (Schefuß et al., 2005) (Fig. 5), we believe the evidence implicates a western Indian Ocean moisture source. The CAB does not transit Lake Victoria at the present time, according to NCEP reanalysis of 925 h Pa mean winds (Levin et al., 2009), due to the orography of the western arm of the rift valley, and likely did not shift significantly over the interval described here (Nicholson, 1996; Levin et al., 2009).

The history of rainfall and temperature in the Lake Victoria basin, as reflected in the records of TEX<sub>86</sub> and  $\delta D$ , is roughly in-phase with June–July–August (JJA) insolation (Fig. 3) and points to the strong role of insolation on tropical climate. The interval of increased moisture balance shown by D-depletion between 11 and 8 ka is also the time of greatest interhemispheric contrast in summer insolation (JJA and DJF), and we hypothesize that this may be an important factor controlling the climate of the Lake Victoria basin (Fig. 3). At Lake Victoria, the termination of warm, wetter conditions centered on ~4 ka marks the inflection of the JJA insolation curve, suggesting this is perhaps a response to some sort of insolation threshold. Likewise, precipitation delivery peaks at Lake Victoria during MAM (Nicholson, 1996) and the insolation trend shows a minimum for MAM that is coincident with the interval of smallest seasonal contrast between JJA and DJF at ~4 ka, perhaps also weakening moisture generation and delivery. This interpretation is consistent with a climate forcing mechanism

proposed by Verschuren et al. (2009) for Lake Challa, another near-equatorial African lake. Interhemispheric seasonal insolation gradients between boreal summer (June) and austral summer (December) were linked to the BIT Index in Lake Challa sediment, used as a proxy for precipitation-induced runoff (Verschuren et al., 2009). According to these authors, orbitally-induced insolation variability would lead to increased NE or SE trade winds bearing moisture off the Indian Ocean. A reduction in rainfall occurs when the contrast between seasonal hemispheric insolation gradients reach a minimum, corresponding to a period lacking heightened monsoonal activity and an abatement of local trade winds (Verschuren et al., 2009). Modeling studies support the linkage between variations in tropical precipitation amount on long time scales to insolation-controlled monsoonal moisture fluxes (Abram et al., 2007; Tjallingii et al., 2008). Beyond the insolation contrasts already discussed, the October–November–December insolation (OND) is at a minimum ~4 ka when MAM is at a maximum. Today these short rains express 50–70% of the interannual variability, more than the long rains of MAM (Nicholson, 1996), but this may not have necessarily been the case for the last 25 ka (Verschuren et al., 2009). Additionally, when the OND insolation reached a maximum at ~16 ka, other factors, including recovery following Heinrich 1 (H1), left much of Africa, including the Lake Victoria region, dry.

The conditions described at Lake Victoria may involve the dynamics of the Indian Ocean Dipole (IOD) (Saji et al., 1999; Abram et al., 2007). The IOD is a coupled ocean-atmosphere phenomenon based on temperature gradients in the Indian Ocean (Abram et al., 2007). The positive IOD mode exhibits relatively warm temperatures in the western Indian Ocean, an intensification of atmospheric convergence over East Africa, and an increased monsoonal intensity (Saji et al., 1999), conditions that broadly match the early to mid-Holocene of Lake Victoria. Previous modeling studies have found that the early–mid Holocene was an interval of enhanced cooling in the eastern Indian Ocean, leading to more positive IOD events (Abram et al., 2007, 2009). The positive IOD mean state is linked to strengthened monsoon winds creating upwelling in the eastern Indian Ocean from enhanced easterly zonal winds at the equator (Abram et al., 2009). Additionally, model results have shown a positive feedback during positive IOD-like events, with SST gradients across the Indian Ocean resulting from insolation-driven monsoonal intensification (Liu et al., 2003; Abram et al., 2007; Krishnan and Swapna, 2009).

In order to assess the possible influence of an Indian Ocean SST gradient on the Lake Victoria hydroclimate through time, we used marine alkenone temperature reconstructions from the eastern Indian Ocean (Mohtadi et al., 2010) and western Indian Ocean (Bard et al., 1997) in order to determine the west–east (W–E) temperature gradient (Fig. 7). We note that the SST gradient increases from the Last Glacial Maximum, ~21 ka, until around the time of the Bølling–Allerød (~14 ka). Assuming the Indian Ocean SST gradient contributes to the strength of the East African monsoon, we note strong agreement between a peak in the SST gradient, and refilling of Lake Victoria ~15 ka following a complete lake desiccation, which created the paleosols discussed earlier. Further, a  $\delta D$  record from nearby Lake Challa, interpreted as a record of the East African Monsoon (EAM) intensity, shows strong agreement with Lake Victoria  $\delta D$ , specifically indicating that while Lake Victoria was particularly wet in early Holocene, the EAM was strong, driving moisture landward to this region (Tierney et al., 2011). Following the strong positive W–E SST gradient (Fig. 7) and wet conditions at Lake Victoria, a steady decrease in the SST gradient is observed, with a steeper decrease noted at the H1 and the YD events, coincident with arid conditions at Lake Victoria.

Insolation-driven warming and increased moisture due to both insolation and the positive IOD seem to have been interrupted at

Lake Victoria during the YD, as evidenced by a pause in increasing temperatures and rainfall, as well as dramatic cooling and drying elsewhere in East Africa. The shifting of the mean ITCZ position is often called upon to explain paleohydrological changes around Africa in regions where it prominently influences modern climate, particularly at the northern and southern extents of its modern pathway (Johnson et al., 2002; Schefuß et al., 2011). It has been proposed that the YD climate anomaly was caused by the reduction or complete shutdown of Atlantic Meridional Overturning Circulation (AMOC) originating in the North Atlantic from a large freshwater input (Broecker et al., 1989). While modeling results (Chang et al., 2008; Chiang et al., 2008) and paleoclimate records (Castañeda et al., 2007; Tjallingii et al., 2008; Schefuß et al., 2011) suggest that an AMOC reduction would likely displace the ITCZ to the south and thus dramatically shift the distribution of rainfall around Africa, it is unlikely that this shift would have a significant impact on the moisture balance at Lake Victoria. The equatorial position of Lake Victoria ensures that a southward displacement of the ITCZ could not have been shifted so far as to prevent the twice annual migration across Lake Victoria although it is likely it was skewed a bit to the south of its present seasonal migration.

The AMOC slowdown was likely communicated to East Africa by the ocean–atmosphere climate dynamics of the El Niño Southern Oscillation (ENSO) (Chiang, 2009). Observational studies and modeling simulations have shown that ENSO dynamics are linked to the precipitation patterns in East Africa, specifically during arid East African La Niña events (Camberlin, 1997; Nicholson and Selato, 2000; Camberlin and Philippon, 2002). Perhaps the strongest connection between ENSO and the deglacial AMOC was found using a suite of coupled general circulation models (CGCMs), which found that the tropical Pacific mean state and ENSO variability showed significant response to a weakening of the AMOC (Timmermann et al., 2005, 2007). Freshwater forcing in the North Atlantic established a meridional Atlantic Ocean temperature gradient, ultimately leading to ENSO intensification, expressed as the cooling of the northeastern tropical Pacific (La Niña-like conditions) (Timmermann et al., 2007). Alternatively, modeling simulations of Clement et al. (2001) indicate that La Niña-like SSTs can lock into the tropical Pacific as an abrupt response to gradual change in orbital forcing when the Earth is in perihelion during boreal winter or summer, mimicking the timing and conditions of the Younger Dryas without calling upon major freshwater hosing of the North Atlantic from a melting ice sheet. By either mechanism, La Niña-like SSTs are produced, which would lead to the weakening of the W–E gradient in Indian Ocean SST, as we observe (Fig. 7). A significant reduction in monsoonal moisture to East Africa is seen in the Lake Challa  $\delta D$  with an associated 30‰  $\delta D$  excursion at the YD (Tierney et al., 2011), consistent with Lake Victoria aridity. The cessation of moisture transport from the Indian Ocean is from reduction of Indian Ocean atmospheric convection and associated reduction in zonal winds, and supported by ocean–atmosphere modeling simulations which have an Atlantic hosing leading to an overall weakened Northern Hemisphere monsoon systems (Dahl et al., 2005; Broccoli et al., 2006). Following the YD and warming in the Northern Hemisphere, reorganization of the tropical monsoonal systems are rapid, as is evidenced in an abrupt resumption of the monsoonal strength as seen in Indian Ocean (Fleitmann et al., 2007) and Asian (Wang et al., 2008) stalagmites, yielding, in the case of Lake Victoria, a more significant shift in  $\delta D$  than the initialization of the YD.

## 7. Conclusions

The records of  $\delta D$  of leaf waxes and  $TEX_{86}$  from Lake Victoria indicate coherence between hydroclimate and temperature during

the latest Pleistocene and Holocene and provide evidence of orbitally-forced tropical climate. We observe an increase in warm, wet conditions during the late Pleistocene, peaking in the early Holocene, before gradually cooling and drying to minimum values of temperature and rainfall at  $\sim 4.7$ – $3.2$  ka. This pattern is in agreement with an early to mid-Holocene high moisture balance and drier, cooler mid-late Holocene following the end of the AHP, temporally transgressive across North and East Africa. We observe relatively modest changes in the record of  $\delta^{13}C$  of plant leaf wax compounds, while fossil pollen suggests significant change in the terrestrial vegetation, shifting from theorized abundant  $C_3$  trees and shrubs (dominated by Moraceae) during the warm, wet interval of the mid-Holocene, to  $C_4$  grasses (Poaceae) during cooler, drier intervals of the latest Pleistocene and late Holocene. The Lake Victoria record of temperature and precipitation amount is consistent with the concept of the influence of the seasonal contrast between JJA and DJF in the Northern and Southern Hemisphere insolation on equatorial rainfall, with enhanced delivery of monsoonally-derived precipitation when the contrast is at a maximum. The communication of climate events such as the YD to Lake Victoria and other East African locales may indicate an added forcing mechanism, with ENSO dynamics overprinting the gradual insolation forcing.

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