Preresorance Raman Single-Crystal Measurements of Electronic Transition Moment Orientations in N-Acetylglycinamide

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Abstract: We have examined electronic coupling between the two amide electronic transitions in a dipeptide and have found strong excitonic interactions in a case where the amide planes are almost perpendicular. We compared the absorption and resonance Raman spectra of N-methylacetamide (NMA) and acetamide (AM) to that of the dipeptide N-acetylglycinamide (NAGA), which is composed of linked primary and secondary amides. We measured the transition moment magnitudes of each of these species and also determined the orientation of the preresonance Raman tensor of NAGA in a single crystal. From these single-crystal tensor values, we calculated the NAGA diagonal Raman tensor orientations and compared them to those expected for unperturbed primary and secondary amides oriented as in the NAGA crystal. Because the primary and secondary amide III vibrations are vibrationally uncoupled and nonoverlapping, we can use their intensities to determine the contributions to their resonance enhancement from the coupled NAGA electronic transitions. The Raman tensor major axes of the primary and secondary amide III and amide I vibrations do not lie in their corresponding amide planes, indicating excitonically coupled states which mix the primary and secondary amide transitions. These results are relevant to the understanding of amide coupling in peptides and proteins; the NAGA crystal conformation is similar to that of a type I β-turn in peptides and proteins, with the amide planes nearly perpendicular to each other (dihedral angle 85°).

Introduction

The electronic properties of macromolecules can either result from the uncoupled or coupled electronic responses of individual molecular fragments.1,2 This coupling could derive from a delocalization of the electronic transitions between linked chromophores in a through-bond manner, similar to that which occurs for the conjugated π network of a polyyne, or the interaction may occur through space, through excitonic interactions between the transition dipoles of the linked fragments with similar electronic transition energies.

In proteins and peptides, the conventional understanding of the backbone electronic excited states and transitions is that the backbone linked amide fragments interact only through excitonic interactions without any through-bond mixing of their excited states.3,4 In α-helical peptides, for example, these through-space excitonic interactions are proposed to result in two electronic transitions oriented parallel and perpendicular to the helix axis.3,5,6 However, this established view of peptide transitions completely neglects the possibility of through-bond interactions of the excited states which could lead to delocalization of the excited states of the amide fragments.

Abstract: We have examined electronic coupling between the two amide electronic transitions in a dipeptide and have found strong excitonic interactions in a case where the amide planes are almost perpendicular. We compared the absorption and resonance Raman spectra of N-methylacetamide (NMA) and acetamide (AM) to that of the dipeptide N-acetylglycinamide (NAGA), which is composed of linked primary and secondary amides. We measured the transition moment magnitudes of each of these species and also determined the orientation of the preresonance Raman tensor of NAGA in a single crystal. From these single-crystal tensor values, we calculated the NAGA diagonal Raman tensor orientations and compared them to those expected for unperturbed primary and secondary amides oriented as in the NAGA crystal. Because the primary and secondary amide III vibrations are vibrationally uncoupled and nonoverlapping, we can use their intensities to determine the contributions to their resonance enhancement from the coupled NAGA electronic transitions. The Raman tensor major axes of the primary and secondary amide III and amide I vibrations do not lie in their corresponding amide planes, indicating excitonically coupled states which mix the primary and secondary amide transitions. These results are relevant to the understanding of amide coupling in peptides and proteins; the NAGA crystal conformation is similar to that of a type I β-turn in peptides and proteins, with the amide planes nearly perpendicular to each other (dihedral angle 85°).

We recently examined the electronic transitions and excited states of the simplest dipeptide, glycyl-glycine (Gly-Gly), and discovered intimate electronic coupling between the amide and carboxylate groups.7 This coupling results in a new charge-transfer transition at ~200 nm, which involves electron transfer from a nonbonding carboxylate orbital to the π* orbital of the amide group.2 We also measured the direction of this charge-transfer transition moment as well as the orientations of the amide and carboxylate NV1 (π → π*) transitions in a hydrated crystal of Gly-Gly.8 The charge-transfer transition moment was found to be oriented along the axis connecting the carboxylate and amide groups. Thus, in this case we found intimate interactions between the amide and carboxylate groups, which results in a new electronic transition, which is in fact, the lowest energy allowed electronic transition of dipeptides as well as of the carboxylate penultimate ends of peptides and proteins. A number of theoretical papers have recently appeared which verify the existence of charge-transfer transitions both in dipeptides and now also in polypeptides with linked amide groups.9,10 The existence of these types of transitions should impact our understanding of peptide electronic excited states, peptide spectroscopy, and the phenomenology of electron transfer in peptides and proteins.

To further probe electronic interactions between coupled amides, we have examined electronic coupling between the linked primary and secondary amide groups of N-acetylglyci-
molecules within the unit cell, showing the hydrogen-bonding network.

Figure 1. (a) Geometry of N-acetylglycinamide (NAGA) obtained from the crystal structure and (b) structure and orientation of the four NAGA molecules within the unit cell, showing the hydrogen-bonding network.

amatide (CH₂CONHCH₂CONH₂, Figure 1). In a manner essentially identical to our glycyl-glycine studies, we used absorption spectroscopy to measure the oscillator strengths of the N-acetylglycinamide (NAGA) 180–200-nm transitions and have determined the transition moment orientations by using polarized preresonance single-crystal Raman spectroscopy. By comparing the electronic transitions of NAGA to those of the isolated primary and secondary amides we are able to directly determine the nature of the electronic coupling between the two amide fragments.

Experimental Section

Crystal Growth and Structure Determination. N-acetylglycinamide, CH₂CONHCH₂CONH₂ (NAGA, Figure 1) was purchased from Aldrich Chemical Co. (purity 97%) and was recrystallized three times from ethanol. Large single crystals (8 mm × 5.3 mm × 1 mm) were grown by slow evaporation of saturated NAGA ethanol solutions.

X-ray diffraction measurements revealed a crystal structure identical to that of Puliti et al., which is monoclinic, of space group P₂₁/n (C₅h) with four molecules per unit cell (Figure 1). Unit cell dimensions are a = 5.349(4) Å, b = 8.065(5) Å, c = 13.675(7) Å, with β = 93.23–(5)°. We determined the crystal structure from our X-ray data. The amide conformation of NAGA in the crystal is similar to that which occurs in a type I β-turn in peptides and proteins (NAGA Ramachandran angles Φ = −70° and Ψ = −30°, while typical type I β-turns have Φ = −60° and Ψ = −30°). These angles are also not far from that of an α₉ structure.

The NAGA molecules occur in an interconnected intermolecular hydrogen-bonding network. For example, the oxygen of the primary amide is simultaneously hydrogen bonded to the N–H groups of the adjacent primary and secondary amides. The oxygen of the secondary amide is only hydrogen bonded to the primary amide N–H.

Figure 2. Orientation of NAGA crystal faces relative to the crystal a, b, and c axes. Also shown is the orientation of the c’ axis, which is defined to be normal to the a and b axes. The optic axis OP is shown within the crystal, as is the apparent optical axis (aop) outside the crystal. Excitation must occur along “aop” outside the crystal for light to propagate along OP within the crystal.

We used the X-ray crystallography precession method to index the thin NAGA rhombohedral crystal face (Figure 2). The large crystal face normal to the (001) direction, while the four edge faces were indexed as the (110), (110), (110), and (100) planes. This result is consistent with the relative angles observed between the crystal faces; rhombus face angles of 67° and 113° occur, since αdh = tan (67°/2). The bisector of the acute angle is the crystallographic b axis, and the bisector of the obtuse angle is the crystallographic a axis.

We determined the crystal optic axes in the visible spectral region by measuring the principal refractive indices nₐ < n₈ < n₉, by employing the immersion method, where the refractive indices of a small crystal (of the same morphology as the large crystal) were compared with that of a series of liquids using a microscope. To avoid dissolution of the crystal we used mixtures of hexane, cyclohexane, benzene, and carbon disulfide to obtain refractive indices between 1.375 and 1.628. We found that nₐ = 1.539, n₈ = 1.559, and n₉ = 1.572.

We found that for visible light, the three crystallographic axes have refractive indices of nₐ = 1.539, n₈ = 1.559, and n₉ = 1.572 and that optic axes lie in the bc (or bc’) plane, which is perpendicular to the intersection of an ellipse of semiaxes n₈, n₉, with a circle of radius n₉. For visible light the optic axis makes an angle of 38.4° to the c axis. To transmit visible light from the air along this optic axis (n₉ = 1.559) within the crystal requires that light be incident onto the crystal at an angle of 75.5° from the perpendicular to the (001) face. This will cause it to refract onto the optic axis inside the crystal.

We expect that the optic axis orientations for 244-nm light is close to our observed orientations of the visible wavelength optic axes. This is reasonable, since we previously demonstrated that the amide π → π* transitions dominate both the visible and UV optical properties, and relatively little change in orientation of the optic axis occurred between the UV and visible spectral region for glycyl-glycine. We searched for the actual optical axis orientation in the UV by searching manually for the angle that gave essentially identical 244-nm HV and VH near-resonance Raman spectra. This occurred at an external incident angle of 72.5° to the perpendicular to the (001) face. This external angle in

(15) We measured nₛ. Since the angle between the c and c’ axes is only 3°, we consider n₈ and nₛ identical.
(16) This angle is equal to tan⁻¹(y/x), were x and y are the solution of the system of equations x² + y² = 1.559² and y²/1.572² + y²/1.539² = 1.
Figure 3. UV absorption spectra of an aqueous solution of N-acetylglycinamide (NAGA, 73 mM) and decomposition of the bands into two components at 178 and 189 nm. The dotted line is the fitted curve. The inset compares the NAGA absorption spectrum to the sum of acetamide (AM) and N-methylacetamide (NMA) spectra. The high-frequencies side of AM and NMA bands are obtained by reflecting the low-frequencies side around $f_{\text{MAX}}$.

air indicates that the crystal optic axis occurs at an internal angle of $\omega = 37.5^\circ \pm 3^\circ$ to the normal to the (001) surface, for the reasonable range of values of $n_e = 1.567 \pm 0.10$.

Spectroscopic Measurements. The spectroscopic instrumentation and the methodology used to obtain polarized-oriented single-crystal Raman spectra are described in detail elsewhere.4 To avoid crystal birefringence, we excited the crystal along the apparent optic axis in air (72.5° from the perpendicular to the (001) face, see Figure 2). In contrast to our previous glycyglycine single-crystal measurements,5 in the NAGA crystal the $a$ crystallographic axis is the axis of intermediate refractive index, and the $b$ axis is the oblate bisectrix.16 Thus, the two optic axes are related by a $C_2$ symmetry operation around the $b$ symmetry axis. Spectra collected with the exciting light propagating parallel to each optic axis gives essentially identical data for identical polarizations in the NAGA crystal; the $c$ crystallographic axis is almost perpendicular to the $a$ crystallographic axis. Thus, we collected all of the polarized Raman data by exciting only along one of the optic axes. In one series of the measurements, we kept the $a$ axis vertical, and in the other series, we rotated the crystal clockwise around the optic axis by an angle of $30^\circ$ (the $a$ axis occurs at an angle of $30^\circ$ to the vertical direction of our laboratory frame).

We measured the vacuum UV absorption spectra of $\sim 75$ mM aqueous solutions of NAGA, NMA, and AM down to 170 nm at the National Synchrotron Light Source at Brookhaven National Laboratory by using the pseudoabsorption technique.19

Results and Discussion

1. NMA, AM, and NAGA Electronic Transition Moments. We directly determined the magnitude of the NAGA, NMA, and AM dipole transition moments from their aqueous solution absorption spectra (Figures 3 and 4). These AM and NMA spectra are reliable for wavelengths above 180 nm, but may show artifactual features at shorter wavelengths caused by water absorption contributions. The broad absorption bands show short wavelength shoulders, which derive from higher energy transitions. We estimated the oscillator strengths of the AM ($\sim 179$ nm) and NMA ($\sim 185$ nm) $\nu_1$ transitions by modeling the low-frequency sides of the absorption bands as Gaussians in the frequency domain. We calculate oscillator strengths20 of

$$f(M_+) = 0.290 \quad \text{and} \quad f(M_-) = 0.168$$

and dipole transition moments of $|M_{AM}| = 3.16 D$ (Debye), and $|M_{NMA}| = 2.79 D$. Our experimental values of the AM and NMA oscillator strengths and dipole transition moments are consistent with recent theoretical studies. These studies, however, also demonstrate that the theoretically calculated values are very sensitive to the assumptions used and the theoretical methods employed.21

The NAGA broad asymmetric absorption band shown in Figure 3 can be decomposed into two Gaussian bands in the frequency domain, located at $\sim 178$ nm and $\sim 189$ nm, which are labeled as $M_+$ and $M_-$, respectively. These Gaussian bands yield oscillator strengths of $f(M_+) = 0.290$ and $f(M_-) = 0.168$ and dipole transition moments of $|M_+| = 3.32 D$ and $|M_-| = 2.60 D$.20,21 The square of the transition moment ratio is $|M_+|^2 / |M_-|^2 = 1.63$. The inset in Figure 3 compares the NAGA absorption spectrum to the sum of the AM and NMA absorption spectra. The spectral differences indicate electronic interactions between the two NAGA amide fragments. Although there is essentially no difference in the first moment of the NAGA absorption band (54 962 cm$^{-1}$) compared to the oscillator strength-weighted first moment of the sum of the NMA and AM absorption spectra (55 131 cm$^{-1}$), band shape changes are clearly evident; the NAGA $M_+$ and $M_-$ bands are split by a larger amount than are the $\nu_1$ NMA and AM bands (vide infra).

2. Raman Band Assignments. The Raman spectra of NAGA in aqueous solution (Figure 5) can be assigned by analogy to the Raman spectra of NMA17,22 and AM.17 The Raman spectra of NMA shows an amide III (A-III) band at 1313 cm$^{-1}$ (Figure 5a), whose 244-nm Raman cross section is 0.96 mbarn/
The methodologies used to measure the Raman scattering cross sections are described in detail elsewhere.\textsuperscript{17} AM shows an A-III band at 1409 cm\textsuperscript{-1} (Figure 5b), whose 244-nm Raman cross section is 0.46 mbarn/molecule\textsuperscript{-str}. A comparison of the 244- and 206-nm NAGA spectra (Figure 5d,e) to the sum of the spectra of NMA and AM (Figure 5c) indicates very similar features.

The NAGA secondary amide A-III band (A-III\textsubscript{s}) occurs at 1307 cm\textsuperscript{-1}, while the primary amide A-III (A-III\textsubscript{p}) band occurs at 1411 cm\textsuperscript{-1}. The 244-nm Raman cross sections of the NAGA A-III\textsubscript{s} and A-III\textsubscript{p} bands are 1.17 and 0.45 mbarn/molecule\textsuperscript{-str}, respectively, while the 206-nm cross sections become 114 and 37 mbarn/molecule\textsuperscript{-str}. The 206-nm Raman cross section of the NMA A-III band is 81 mbarn/molecule\textsuperscript{-str}, while the 206-nm Raman cross section of the AM A-III band is 23 mbarn/molecule\textsuperscript{-str}.

A NAGA band similar to the NMA CCH\textsubscript{3} sb at 1380 cm\textsuperscript{-1} is also evident. The 1585 cm\textsuperscript{-1} NMA-like amide II band intensity, which is weak in the 244-nm NAGA spectrum, increases dramatically as the excitation wavelength goes deeper into the UV at 206 nm (Figure 5e). The A-I band shoulder of NMA occurs as a doublet\textsuperscript{22} at 1626 and at 1645 cm\textsuperscript{-1}, while the A-I band of AM occurs as a shoulder at 1662 cm\textsuperscript{-1}. NAGA in solution at 244-nm excitation shows a strong A-I band, which contains overlapping primary and secondary amide I bands. The 244-nm NAGA A-I band apparent cross section (1.36 mbarn/molecule\textsuperscript{-str}) is increased 4-fold compared to the sum of the 244-nm cross sections of the NMA and AM A-I bands, which are 0.22 and 0.13 mbarn/molecule\textsuperscript{-str}.

These cross-section changes between NAGA and NMA and AM indicate interactions between the two linked NAGA amide electronic transitions. For example, the 89 mbarn/molecule\textsuperscript{-str} 206-nm NAGA A-I band cross section is 1.5 times larger than the sum of the 206-nm A-I band cross sections of NMA (47 mbarn/molecule\textsuperscript{-str}) and AM (14 mbarn/molecule\textsuperscript{-str}).

The 244-nm single-crystal Raman spectrum of NAGA (Figure 6) shows more resolved bands than do the solution phase Raman spectra. The NAGA crystal Raman spectrum is dominated by the A-III\textsubscript{s} vibration at 1305 cm\textsuperscript{-1}, while the 1412 cm\textsuperscript{-1} band results from the A-III\textsubscript{p} vibration. The A-I band appears as a single (unresolved) band at \( \sim 1653 \) cm\textsuperscript{-1} in both the NAGA crystal and in aqueous solution. We were unable to uniquely resolve the two underlying A-I band components. This is probably due to the similarity of frequencies. This also suggests that there is little coupling between the A-I vibrations of the primary and secondary amides; coupling would be expected to increase the splitting between these vibrations.
The A-II bands of NAGA are much weaker than those of NMA and AM and appear as a weak shoulder of the A-I band. Substitution of the N–H and NH2 groups by deuterium causes the A-II′ bands to appear and the A-II and A-III bands to disappear, in a manner similar to that for NMA and AM (data not shown). Interestingly, the relative intensity of the A-II′ to A-I′ bands of NAGA in D2O is much smaller than that for deuterated AM and NMA. Deuterated NAGA shows overlapping, but clearly separate primary and secondary A-II′ and A-I′ amide bands.

The other nonamide bands of NAGA can be assigned on the basis of the AM and NMA assignments. The 871 and 906 cm⁻¹ bands are C=C skeletal stretching modes. The band at 1041 cm⁻¹, which has no counterpart in the Raman spectra of AM or NMA but appears in the spectra of Gly-Gly, is assigned to methylene CH2 rocking. The other rocking band, deriving from the NH2 group of the primary amide, appears at 1113 cm⁻¹ in the crystal and at 1118 cm⁻¹ in aqueous solution.

The complex band contour centered around 1400 cm⁻¹ is the most difficult region to assign. Clearly, the band at 1381 cm⁻¹ is the CH2 symmetric bending observed at this frequency for NMA. The band at 1454 cm⁻¹ is probably the CH2 bending or less likely CH3 antisymmetric bending.

The UV-polarized Raman spectra of the NAGA crystal are shown in Figure 7. The spectra on the left are measured with a crystallographic axis oriented vertically, and those on the right are taken with a axis inclined 30° to the vertical orientation. The spectra are obtained in the backscattering (−180°) geometry. Thus, the incident light and the Raman scattered light propagate along the same crystal optic axis Z. For each orientation of the crystal we measured four different polarized spectra, HH, HV, VH, and VV. As expected, the HV and VH measurement sets are essentially identical.

### Table 1. Correlation Diagram of Molecular and Factor Group

<table>
<thead>
<tr>
<th>Isolated molecule</th>
<th>Site</th>
<th>Unit Cell</th>
</tr>
</thead>
<tbody>
<tr>
<td>C₁</td>
<td>C₁</td>
<td>C₅</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(42 + 6τ Aₐ(R))</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(42 + 6τ B₉(R))</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(42A + 3τ + 3τ)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(42A + 3τ + 3τ)</td>
</tr>
</tbody>
</table>

If 90° or backscattering polarized Raman measurements are made with the incident and scattered light polarizations coincident with the orthogonal crystallographic coordinate system ab′c′, Aₐ vibrations of a monoclinic crystal will appear only in the (aa), (bb), (c′c′), and (ac′) polarized spectra, while the B₉ vibrations will appear only in the (ab) and (bc′) polarized spectra. The Raman tensors are

\[
\alpha^{\text{Raman}}(A_{a}) = \begin{bmatrix} a & 0 & d \\ 0 & b & 0 \\ d & 0 & c \end{bmatrix}
\]

\[
\alpha^{\text{Raman}}(B_{b}) = \begin{bmatrix} 0 & e & 0 \\ e & 0 & f \\ 0 & f & 0 \end{bmatrix}
\]
model limit due to the small intermolecular interactions; the $A_g$ and $B_g$ crystal symmetries of each NAGA vibration have essentially identical frequencies.

The observed polarized crystal Raman intensities are given by

$$I_{\rho\sigma} = A(|e^T_{\rho} \alpha^{(A)}_{\sigma} e_o|^2 + |e^T_{\rho} \alpha^{(B)}_{\sigma} e_o|^2)$$

(2)

The $e_{\rho}$ and $e_{\sigma}$ are unit vectors parallel to the exciting and scattered electrical fields, respectively; $T$ stands for the transpose of the vector $e_{\rho}$; and $A$ is a proportionality constant. $\alpha^{(A)}_{\sigma}$ and $\alpha^{(B)}_{\sigma}$ are the Raman tensors of the $A_g$ and $B_g$ vibrations in the laboratory frame. These differ from the $\alpha^{(A)}_{\sigma}$ and $\alpha^{(B)}_{\sigma}$ Raman tensors in the crystallographic frame, but are related to them through the appropriate rotation matrices $\alpha^{(A)}_{\sigma} = R^T \alpha_{\sigma}^{(A)}$. The $\alpha^{(A)}_{\sigma}$ and $\alpha^{(B)}_{\sigma}$ tensors in the crystallographic frame, but are related to them through the appropriate rotation matrices $\alpha^{(A)}_{\sigma} = R^T \alpha_{\sigma}^{(A)}$. (See Appendix.)

The intensity of a polarized Raman band measured in the crystallographic frame is proportional to the sum of squares of the values of the $\alpha^{(A)}_{\sigma}$ and $\alpha^{(B)}_{\sigma}$ Raman tensor elements ($a, b, c, d, e, f$). Since the factor group symmetry operations leave these elements unchanged or change only their signs, each molecule of the crystal unit cell contributes identically at the intensity level to the polarized oriented Raman spectra taken in the crystallographic frame.

4. NAGA Amide Raman Tensors and PART Orientations.

We can relate the crystal Raman intensities measured in the laboratory frame to the tensor elements in the crystallographic frame (see Appendix). We can then relate the tensor elements in the crystallographic frame to the molecular diagonal Raman tensor components, whose axes lie along the principal axis of the molecular Raman tensor, i.e., the Principal Axis of the Raman Tensor (PART).

We curve-fit the polarized crystal Raman bands by using Lorentzian functions. We calculated the peak areas of the amide Raman bands of the secondary and primary amides at 1653, 1305, and 1413 cm$^{-1}$. These values were used to calculate the Raman tensor and the PART orientations of the A-I, A-III, and A-IIP bands of NAGA in the crystal.

Equations A8a–f in the Appendix relate the observed Raman intensities for the two crystal orientations to the Raman tensor elements in the crystallographic frame, $\alpha^{(A)}_{\sigma}$ and $\alpha^{(B)}_{\sigma}$. For the first crystal orientation we have $I_{VV}(1) = I_{HY}(1), I_{VIII}(1) = I_{IHY}(1), and for the second crystal orientation $I_{VII}(2) = I_{IHY}(2), I_{VIII}(2) = I_{HY}(2), I_{VII}(2) = I_{HY}(2)$.

We utilized our measured angles of $\omega = 37.5^\circ$ for the orientation of the optic axis, and the measured crystal orientation angle $\xi = 30^\circ$ to calculate the $\alpha^{(A)}_{\sigma}$ and $\alpha^{(B)}_{\sigma}$ Raman tensors for the A-III, A-IIP, and A-I vibrations of the NAGA crystal (Table 2). Because the A8 equations are transcendental, second-order expressions, we do not obtain a single unique solution. We can select the unique solution by utilizing the additional information on the Raman tensor elements obtained from depolarization ratio measurements of randomly oriented NAGA molecules dissolved in water. We should note, however, that this creates an implicit assumption that insignificant differences occur for the invariants of the NAGA Raman tensor between the crystal and the solution. The measured Figure 8 depolarization ratios are $\rho(A-III) = 0.35, \rho(A-IIP) = 0.51$, and $\rho(A-I) = 0.29$. The 244-nm solution-phase depolarization

Table 2. Crystal and Molecular Raman Tensors of the NAGA Amide Vibrations:

<table>
<thead>
<tr>
<th>Orientation</th>
<th>Tensor</th>
<th>Elements</th>
</tr>
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<tbody>
<tr>
<td>A-III (secondary)</td>
<td>$\alpha^{(A)}_{\sigma}$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$\alpha^{(B)}_{\sigma}$</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$\alpha^{mol}(A)$</td>
<td></td>
</tr>
</tbody>
</table>

| A-III (primary) | $\alpha^{(A)}_{\sigma}$ | 
| $\alpha^{(B)}_{\sigma}$ | $\alpha^{mol}(A)$ | 

| A-I | $\alpha^{(A)}_{\sigma}$ | 
| $\alpha^{(B)}_{\sigma}$ | $\alpha^{mol}(A)$ | 

Figure 8. Parallel (\textit{||}) and perpendicular (\textit{\perp}) polarized 244-nm UV Raman spectra of aqueous solution of NAGA. Excitation power was 7.5 mW; accumulation time, 50 s; concentration, 0.4 M. The shaded peaks derive from the symmetric stretch of the perchlorate internal standard (0.2 M).

$= I_{HY}(1) = I_{IHY}(1)$, and for the second crystal orientation $I_{VII}(2) = I_{HY}(2), I_{VIII}(2) = I_{IHY}(2), I_{VII}(2) = I_{HY}(2)$.

We utilized our measured angles of $\omega = 37.5^\circ$ for the orientation of the optic axis, and the measured crystal orientation angle $\xi = 30^\circ$ to calculate the $\alpha^{(A)}_{\sigma}$ and $\alpha^{(B)}_{\sigma}$ Raman tensors for the A-III, A-IIP, and A-I vibrations of the NAGA crystal (Table 2). Because the A8 equations are transcendental, second-order expressions, we do not obtain a single unique solution. We can select the unique solution by utilizing the additional information on the Raman tensor elements obtained from depolarization ratio measurements of randomly oriented NAGA molecules dissolved in water. We should note, however, that this creates an implicit assumption that insignificant differences occur for the invariants of the NAGA Raman tensor between the crystal and the solution. The measured Figure 8 depolarization ratios are $\rho(A-III) = 0.35, \rho(A-IIP) = 0.51$, and $\rho(A-I) = 0.29$. The 244-nm solution-phase depolarization

ratio of the NMA A-III band is $\rho = 0.33$, while the AM A-III band is $\rho = 0.37$.

The depolarization ratio is related to the symmetric Raman tensor elements by\(^{28,29}\)

$$\rho = \frac{3y^2}{45a^2 + 4y^2} \quad (3)$$

where

$$a = \frac{1}{4} \left( \alpha_{xx} + \alpha_{yy} + \alpha_{zz} \right) \quad (3a)$$

and

$$y^2 = \frac{1}{2} \left( \left( \alpha_{xx} - \alpha_{yy} \right)^2 + \left( \alpha_{yy} - \alpha_{zz} \right)^2 + \left( \alpha_{zz} - \alpha_{xx} \right)^2 + 6 \left( \alpha_{xy}^2 + \alpha_{xz}^2 + \alpha_{yz}^2 \right) \right) \quad (3b)$$

where the tensor elements are defined in the molecular frame.

For each vibrational mode we calculated the crystal frame Raman tensor \(\mathbf{a}^\text{molec} = \mathbf{a}^\text{crystal} \mathbf{R} \mathbf{a}^\text{mol} \mathbf{R}^T\) by appropriately rotating the measured laboratory frame crystal Raman tensors, subject to the constraints given by eqs 3 and our measured $\rho$ values. The molecular diagonal Raman tensor in the PART frame, \(\mathbf{a}^\text{mol} \mathbf{R}\), is related to crystallographic frame Raman tensor, \(\mathbf{a}^\text{molec} \mathbf{R}\) by

$$\mathbf{a}^\text{molec} = \mathbf{R}^T \mathbf{a}^\text{mol} \mathbf{R} \quad (4)$$

where \(\mathbf{R}(\theta, \phi, \chi)\) is the orthogonal rotation matrix,\(^8\) \(\theta, \phi, \chi\) being the Euler’s angles,\(^{29}\) and

$$\mathbf{a}^\text{mol} = \begin{pmatrix} \alpha_{xx} & 0 & 0 \\ 0 & \alpha_{yy} & 0 \\ 0 & 0 & \alpha_{zz} \end{pmatrix} = \begin{pmatrix} r_1 & 0 & 0 \\ 0 & r_2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (5)$$

where \(r_1 = \alpha_{xx}/\alpha_{zz}\) and \(r_2 = \alpha_{yy}/\alpha_{zz}\).

We determined the Raman tensor elements \(r_1\) and \(r_2\) and angles \(\theta, \phi, \chi\) by solving

$$I_{es} = K|\mathbf{e}^T \mathbf{R}^T \mathbf{a}^\text{mol} \mathbf{R} \mathbf{e}|^2 \quad e, s = a, b, c' \quad (6)$$

where the \(I_{es}\) values are those determined in the crystallographic frame and are given by the square of the individual a, b, c, d, e, and f elements of the tensors \(\mathbf{a}^\text{crystal}(A)\) and \(\mathbf{a}^\text{crystal}(B)\); \(K\) is a proportionality constant. (See Appendix A of ref 8.) The elements of the rotation matrix \(\mathbf{R}\) are the direction cosines, which relate the PART frame to the crystallographic frame.

Table 2 displays the resulting crystallographic frame (\(\mathbf{a}^\text{crystal}\)) and molecular diagonal frame Raman tensors (\(\mathbf{a}^\text{mol}\)) for the primary and secondary amide A-III and A-I bands. These tensors are normalized to \(\mathbf{a}^\text{mol} = 1\). The orientations of the 244-nm excited A-III and the A-I Raman band PARTs are given in Table 3. The most striking feature is that the major axes of the Raman tensors (MARTs) of the amide A-III and A-I Raman bands lie well out of the amide plane. This is in clear contrast to the in-plane orientations expected for the MARTs in the case of isolated amides.\(^{8,27}\) The MART of the A-IIIs lies 46° out of the secondary amide plane, while the A-IIIP MART is 22° out of the primary amide plane. The A-I vibration MART (which is a weighted average from the linked secondary and primary amides) lies 52° and 15° out of secondary and primary amide planes, respectively.

For simple cases of isolated amides such as NMA, formamide and propanamide the A-III vibrational enhancements are dominated by the ~185-nm amide $\pi - \pi^*$ (NV) transition even far from resonance.\(^{17}\) In this case the A-III MART would be parallel to the amide $\pi - \pi^*$ electronic transition moment, which lies in the amide plane.\(^8\) In the more general case, with resonance excitation, small contributions from other transitions can occur to cause the MART to orient slightly off of the direction of the dominant dipole transition moment. The fact that the NAGA MARTs lie significantly out of the amide planes indicates that the two NAGA amide $\pi - \pi^*$ (NV) transitions are involved in the enhancement, and thus, these different electronic transitions are intimately coupled.

Figure 9 shows the orientations of the NAGA PARTs of the primary and secondary A-III vibrations relative to their respective amide planes. The amide planes lie in the plane of the page. The PART component which lies closest to the amide plane (but not in it) is drawn as a completely solid line. The two other PART components lie in a plane, which forms an angle of 76° to the secondary amide plane and 68° to the primary amide plane. The lengths of the PART axes are proportional to the absolute values of the Raman tensor elements. An important feature is that similar PART orientations occur for both the primary and secondary amide III bands as well as for the amide I band (Table 3). The trace of the secondary amide A-III Raman polarizability tensor is less anisotropic than that of the primary amide (Table 2), which accounts for its smaller solution depolarization ratio compared to that of the primary amide (Table 3). The A-III vibration Raman polarizability tensor is less anisotropic than that of the primary amide (Table 2), which accounts for its smaller solution depolarization ratio compared to that of the primary amide A-III vibration.

### Table 3. (a) Crystal and (b) Molecular Orientations of the Principal Axes of the N-Acetylglycinamide Raman Tensor

<table>
<thead>
<tr>
<th>angle between</th>
<th>A-III (secondary)</th>
<th>A-III (primary)</th>
<th>A-I</th>
</tr>
</thead>
<tbody>
<tr>
<td>PART and</td>
<td>$x$</td>
<td>$y$</td>
<td>$z$</td>
</tr>
<tr>
<td>$a$ axis</td>
<td>54</td>
<td>144</td>
<td>85</td>
</tr>
<tr>
<td>$b$ axis</td>
<td>52</td>
<td>59</td>
<td>53</td>
</tr>
<tr>
<td>$c'$ axis</td>
<td>58</td>
<td>73</td>
<td>142</td>
</tr>
</tbody>
</table>

*The angles are given in degrees and have a maximum likely error of $\pm 3^\circ$. These orientations are obtained from 244-nm excitation Raman measurements of a N-acetylglycinamide (NAGA) crystal.

5. NAGA Molecule Electronic Transition Moment Orientations. We can utilize the NAGA UV preresonance Raman tensor data to determine the NAGA electronic transition moment orientation(s).\(^5\) The NAGA dipeptide has linked primary and secondary amides separated by a methylene group. We begin our analysis by assuming that we can understand the NAGA electronic transitions by considering interactions solely between the adjacent two $\pi - \pi^*$ (NV) electronic transitions of the secondary and primary amides, which are similar to those of NMA and AM. We include only the lowest energy strongly...
The Raman intensities are proportional to the modulus squared values of the polarizability tensor elements, which are for excitation from the visible down to 184 nm. The lengths of the axes are proportional to the square of the polarizability tensor elements, which is the closest to the amide plane. The respective amide planes lie in the plane of the page. None of the axes in the amide plane. The PART component, which is the closest to the amide plane, is drawn as a completely solid line. The perspective plane contains the two other PART components. The lengths of the axes are proportional to the magnitudes of the Raman tensor values. The z axis length equals unity for both bands as we have normalized the tensors with their respective amide planes. The respective amide planes lie in the plane of the page.

allowed transitions because we have shown that these transitions dominate the amide band Raman enhancements for excitation from the visible down to 184 nm. The Raman intensities are proportional to the modulus squared values of the polarizability tensor elements, which are in turn determined by the coupling between vibrational and electronic motion within the entire manifold of excited vibronic states. The KHD description of Raman scattering from the i to j vibrational levels of a normal mode of the ground electronic state 0 is:

\[
\frac{1}{hc} \sum_{n,k} \left[ \frac{\langle 0 \mid e_{p} \cdot M \mid n \rangle \langle n \mid e_{\sigma} \cdot M \mid 0 \rangle}{\tilde{v}_{nk} - \tilde{v}_{0k} - \tilde{v}_{L} - i \gamma_{nk}} + \frac{\langle 0 \mid e_{p} \cdot M \mid n \rangle \langle n \mid e_{\sigma} \cdot M \mid 0 \rangle}{\tilde{v}_{nk} - \tilde{v}_{0k} + \tilde{v}_{L} - i \gamma_{nk}} \right] \]

where the summation occurs over the electronic excited states, \( n \) and their vibrational levels, \( k \), \( \tilde{v}_{nk} \), \( \tilde{v}_{0k} \), and \( \tilde{v}_{0j} \) are the frequencies (cm\(^{-1}\)) of states \( |n \rangle \), \( |0 \rangle \), and \( |0 \rangle \), respectively, \( \tilde{v}_{L} \) is the frequency of the exciting light; \( \gamma_{nk} \) is the homogeneous linewidth of each vibronic state (cm\(^{-1}\)); and \( e_{p} \) and \( e_{\sigma} \) are the unit vectors parallel to the exciting and scattered light polarizations. \( M \) is the electric dipole moment operator.

The Born–Oppenheimer approximation factors the electronic and vibrational wave functions. Thus, in the preresonance regime eq 7 becomes:

\[
\frac{1}{hc} \sum_{n,k} \left[ \frac{\langle 0 \mid e_{p} \cdot M \mid n \rangle \langle n \mid e_{\sigma} \cdot M \mid 0 \rangle}{\tilde{v}_{nk} - \tilde{v}_{0k} - \tilde{v}_{L} + i \gamma_{nk}} + \frac{\langle 0 \mid e_{p} \cdot M \mid n \rangle \langle n \mid e_{\sigma} \cdot M \mid 0 \rangle}{\tilde{v}_{nk} - \tilde{v}_{0k} + \tilde{v}_{L} + i \gamma_{nk}} \right] \]

where the second term of eq 7 and \( \gamma_{nk} \) are neglected in our preresonance case. \( \tilde{v}_{n} \) is the frequency of the Raman active vibration in excited state \( n \). Thus \( \tilde{v}_{nk} = \tilde{v}_{0k} + k \tilde{v}_{n} \) and \( \tilde{v}_{n} = \tilde{v}_{0k} - \tilde{v}_{0k} = \tilde{v}_{L} - i \gamma_{nk} \). For preresonance excitation, \( \tilde{v}_{n} \) is significantly smaller than \( \tilde{v}_{n} \); thus, we include the first two terms of the binomial expansion (eq 8).

The first term of the Franck–Condon summation over \( k \) is equal to unity for \( j = i \) and is responsible for the Rayleigh scattering. This term equals zero for the Raman transition \( i = 0 \) to \( j = 1 \). The second term equals \( (\delta_{n} / 2)(\tilde{v}_{n} - \tilde{v}_{n}) \), while the third term turns out to be \( (\delta_{n} / 2)(\delta_{n} - 1)(\tilde{v}_{n} - \tilde{v}_{n})^{2} \), where \( \delta_{n} \) is the dimensionless displacement of excited state \( n \) relative to the ground state along the normal coordinate of the Raman active vibration. Thus, eq 8 for the Raman transition \( i = 0 \) to \( j = 1 \) can be written:

\[
\frac{1}{hc} \sum_{n,k} \left[ \frac{\langle 0 \mid e_{p} \cdot M \mid n \rangle \langle n \mid e_{\sigma} \cdot M \mid 0 \rangle}{\tilde{v}_{nk} - \tilde{v}_{0k} - \tilde{v}_{L} + i \gamma_{nk}} + \frac{\langle 0 \mid e_{p} \cdot M \mid n \rangle \langle n \mid e_{\sigma} \cdot M \mid 0 \rangle}{\tilde{v}_{nk} - \tilde{v}_{0k} + \tilde{v}_{L} + i \gamma_{nk}} \right] \]

The values of \( \delta_{n}, \tilde{v}_{n}, \tilde{v}_{n} \) for each vibrational normal mode generally differ between the different excited states. We relate the values of \( \delta_{n}, \tilde{v}_{n}, \tilde{v}_{n} \) for the NMA A-III vibration enhanced by the NV transition to the NAGA secondary amide A-III vibration enhanced by the coupled electronic transitions in NAGA by solving simultaneous relations for the Raman intensities measured for two different excitation wavelengths. These expressions utilize the following equations for the A-III Raman intensities for NMA and NAGA:

\[
I_{NMA} = C \left( \frac{M_{NMA}^{2}}{\tilde{v}_{NMA} - \tilde{v}_{L}} \right)^{2} \left( \frac{\delta_{NMA} \tilde{v}_{NMA}}{\tilde{v}_{NMA} - \tilde{v}_{L}} \right)^{2} \]

\[
I_{NAGA} = C \left( \frac{M_{NAGA}^{2}}{\tilde{v}_{NAGA} - \tilde{v}_{L}} \right)^{2} \left( \frac{\delta_{NAGA} \tilde{v}_{NAGA}}{\tilde{v}_{NAGA} - \tilde{v}_{L}} \right)^{2} \]

where we neglect the homogeneous line width term for our preresonance excitation, and \( C \) is a proportionality constant. The \( (\tilde{v}_{n} - \tilde{v}_{n})^{2} \) term is ignored because it is small compared to \( \tilde{v}_{n}^{2} \) for our preresonance 244- and 228.9-nm excitation. \( \tilde{v}_{NMA}, \tilde{v}_{NAGA}, \) and \( \tilde{v}_{n} \) are the frequencies of the 0–0 transition (\( \tilde{v}_{00} - \tilde{v}_{00} \)) of NMA and the two coupled \( \pi \rightarrow \pi^{*} \) transitions of NAGA, respectively. We estimated these values by subtracting 4000 cm\(^{-1}\) from the frequency maxima of the absorption bands to account for the absorption Franck–Condon blue shifts of the maxima from the 0–0 transition frequencies, according to the results of Mayne and Hudson. The result for NMA in

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aqueous solution the NV₁ (π → π*) 0→0 transition frequency band occurs at 50 000 cm⁻¹ and that the NAGA coupled transitions occur at 52 000 and 49 000 cm⁻¹.

The measured intensity ratios of the aqueous solution NAGA secondary A-III band to that of the NMA A-III band excited at 244 and 228.9 nm are $I_{NAGA}/I_{NMA} = 1.22 ± 0.04$ and $I_{NAGA}/I_{NMA} = 1.15 ± 0.05$, respectively. The measured values of $M_{NMA}^2$, $M_{NMA}^2$, and $M_{NMA}^2$ are 7.78, 11.02, and 6.76 D², respectively. Thus, we can write the following two simultaneous equations for 244- and 228.9-nm excitation, respectively:

$$0.091\delta υ^+ + 0.106\delta υ^- = 0.106\delta_{NMA} υ^+_{NMA} \quad (12.1)$$

$$0.160\delta υ^+ + 0.240\delta υ^- = 0.210\delta_{NMA} υ^+_{NMA} \quad (12.2)$$

All $δ$’s are expected to have the same sign since they derive from coupling of very similar vibrations to similar electronic transitions. This supposition is confirmed by the fact that the two largest tensor elements of the NAGA crystal for the secondary A-III vibration are of the same sign.

From eqs 12.1 and 12.2, $δ υ^+ = 1.5δ υ^-$ and by using also eq 9 we obtain $δ υ^+/(δ υ^-) = (1.05 ± 0.1)δ υ^-(δ υ^-)$ for 244-nm excitation ($δ υ = 41 000$ cm⁻¹). This means that the Raman polarizability contributions from each of the two NAGA electronic transitions are very similar for 244-nm excitation. The above result indicates a substantial mixing of the original primary and secondary amide electronic transitions, which results in a smaller A-III Franck-Condon factors within each NAGA molecule excited state compared to what is present in the NMA molecule. Similar results are obtained for the NAGA primary A-III band by comparing it with the AM A-III band; thus, $δ υ^+ = 1.43δ υ^-$ and $δ υ^-/(δ υ^-) = (1.05 ± 0.1)δ υ^-(δ υ^-)$ for 229-nm excitation.

If these two mixed electronic transitions, $M_+$ and $M_-$, dominate the KHD sum over states in eq 8, we can determine their relative transition moment orientations from the experimentally measured Raman tensor elements.[7,8] A linearly polarized resonant electronic transition in the diagonal frame results in only a single Raman tensor element $α_ξ$, where direction $i$ is parallel to the electronic transition moment. If we define $α_ξ$ as the NAGA Raman polarizability tensor element derived from electronic transition $M_+$ in its diagonal frame, and $α_ξ$ as the tensor element derived from the $M_-$ transition in its diagonal frame, $α_ξ$ and $α_ξ$ are oriented along their respective transition moment directions. If $M_+$ is parallel to the $x_1$ axis in the $x_1y_1z_1$ coordinate system and $M_-$ is parallel to $x_2$ in the $x_2y_2z_2$ system, the Raman tensor elements $α_{x_1}$ and $α_{x_2}$ in the $x_1y_1z_1$ PART frame of the NAGA crystal are given by[7,8]

$$α_{x_1} + α_{x_2} = α_+ + α_- \quad (13)$$

$$α_{x_1} - α_{x_2} = α_+ - α_- \quad (14)$$

where $β$ is the angle between the $M_+$ and $M_-$ transition moments.

The polarized oriented Raman crystal measurements discussed above determine the values of $α_{x_1}$ and $α_{x_2}$. We require an additional relationship to uniquely determine $α_+$, $α_-$, and the angle $β$. We can utilize the ratio

$$\frac{α_+}{α_-} = \frac{|M_+|^2(υ_- - υ_+)^2 δ_+ υ^+}{|M_-|^2(υ_+ - υ_-)^2 δ_- υ^-} \quad (15)$$

to obtain, $α_+/α_- = 1.3$ at 244 nm and $α_+/α_- = 1.0$ at 229 nm. This ratio is reduced to 0.05 at 206.5-nm excitation. In eq 15,

Figure 10. Orientations of NAGA $M_+$ and $M_-$ dipole transition moments compared to the orientations of the unperturbed NV₁ ($π → π^*$) transition moments of each fragment, $m_1$ and $m_2$. All the transition moments lie in the same plane.

$υ_+ = 52 000$ cm⁻¹ and $υ_- = 49 000$ cm⁻¹ are the $0→0$ transition frequencies of the NAGA $M_+$ and $M_-$ electronic transition moments.

From these relationships for the secondary amide III vibration we calculate an acute angle $β$ of $75° ± 4°$ (the obtuse angle is $105° ± 4°$) between the $M_+$ and $M_-$ electronic transition moments. We can similarly use the Raman tensor data of the A-III$p$ and A-I bands which yield acute angles of $76° ± 4°$ and $80° ± 4°$, respectively (average value of $β = 77° ± 4°$).

The angle $ξ$ between the larger transition moment, $M_+$ and MART is given by

$$ξ = \frac{1}{2} \tan^{-1} \left\{ -\alpha_+ \sin(2β)/(α_+ + α_+ \cos(2β)) \right\} \quad (16)$$

The value of $ξ$ is extremely sensitive to the $α_+//α_-$ ratio, in contrast to the angle $β$ between the transition moments. We calculate that $ξ$ is $4°$ for A-III$p$, $5°$ for A-III$p$ and $2°$ for the A-I MART, although given our error analysis it is possible that these angles could be as large as $16°$. We conclude that the 244-nm excited Raman spectra NAGA MART orientations of these amide vibrations are almost parallel to the higher frequency $M_+$ transition moment. The orientations of the NAGA $M_+$ and $M_-$ transition moments are shown in the Figure 10. The angles between the $M_+$ and $M_-$ and the isolated amide transition moments are discussed below.

6. Mixing between NAGA Primary and Secondary Amide Transition Moments. NAGA is a dimer of primary and secondary amides. In the crystal these two amide planes are nearly perpendicular with a dihedral angle of $85°$. The magnitude of coupling between these two transitions should be related to the relative orientations of their $m_1$ and $m_2$ $π → π^*$ (NV₁) electric dipole transition moments.

The NAGA dimer excited states can be modeled as linear combinations of the locally excited amide configurations.[35] Thus, if we write the local ground-state wave functions of the primary and secondary amide as $π_1$ and $π_2$ and their respective excited
Figure 11. Energy level diagram for coupling of the ππ* excited states and the respective dipole transition moments in NAGA. πτ2 is the dipole ground-state wave function, while π1 and π2 are the ground states of each isolated amide. πτ1 and πτ1* are locally excited configurations and π1* and π2* are the NAGA excited states. M± and M±* are the NAGA transition moments, while m1 and m2 are the respective dipole transition moments of the isolated amides.

states as πτ1* and πτ1, the NAGA dimer excited-state wave functions can be written as

\[ \pi_{\tau1}^* = \cos \alpha (\pi_{\tau1} \pi_{\tau2}) + \sin \alpha (\pi_{\tau1} \pi_{\tau2}^*) \]  
(17.1)

\[ \pi_{\tau1} = -\sin \alpha (\pi_{\tau1} \pi_{\tau2}) - \cos \alpha (\pi_{\tau1} \pi_{\tau2}^*) \]  
(17.2)

where \( \pi_{\tau1} \pi_{\tau2} \) and \( \pi_{\tau2} \) are the locally excited amide states and \( \alpha \) is the mixing parameter (Figure 11), with \( 0 \leq \alpha \leq 90^\circ \) and \( \alpha = 45^\circ \) for identical monomers.

The dimer transition moments \( M_+ = \langle \pi_{\tau1} \rangle |M|\pi_{\tau2} \rangle \) and \( M_- = \langle \pi_{\tau1} \rangle |M|\pi_{\tau2} \rangle \) can be written as

\[ M_+ = \cos \alpha \, m_1 + \sin \alpha \, m_2 \]  
(18.1)

\[ M_- = \sin \alpha \, m_1 - \cos \alpha \, m_2 \]  
(18.2)

where \( m_1 = \langle \pi_{\tau1} \rangle |M|\pi_{\tau2} \rangle \) and \( m_2 = \langle \pi_{\tau2} \rangle |M|\pi_{\tau1} \rangle \) are the individual amide fragment NV1 \( \pi \rightarrow \pi^* \) dipole transition moments of AM and NMA, respectively, as we will shown below. Their magnitudes are estimated from the absorption spectra of AM and NMA, while their orientations have been experimentally determined from previous single-crystal measurements.38

For example, our preresonance Raman single-crystal measurements of glycy1-glycine \(^8\) determined that the secondary amide NV1 transition moment, \( m_2 \) orients within the amide plane, \(-46^\circ \pm 3^\circ \) to the carbonyl bond (positive angles are defined such that the transition moment forms an acute angle to the carbonyl bond on the side containing the N atom of the amide group). Clark’s N-acetylglycine linear dichroism measurements also found that the secondary amide NV1 transition moment occurs in the amide plane;38 however, the angle was found to be \(-55^\circ \pm 5^\circ \) to the carbonyl bond, which differs by an amount just greater than the experimental uncertainties.

For the primary amide \( m_1 \) orientation, we can use Clark’s propanamide result,36 that the NV1 transition moment orients in the amide plane at \(-35^\circ \pm 3^\circ \) from the C=O axis; this value is insignificantly different from the \(-41^\circ \) angle measured earlier for myristamide given Peterson and Simpson’s likely experimental uncertainties.37

To calculate excitonic interactions between the amide transitions from the measured \( M_+ \) and \( M_- \) magnitudes of isolated NAGA monomers in aqueous solution, we must relate the transition moment orientations observed in the single crystal to those occurring for NAGA monomers in solution. We assume that the NAGA structure in aqueous solution differs insignificantly from that in the crystal. Even if a number of solution-phase conformers are present, we assume that conformations close to that in the crystal dominates.

Clark38 and Woody39 have argued that the crystal field and crystal excitonic effects significantly alter the transition moment orientations. For example, the gas-phase NV1 transition moment of the primary amide propanamide has been calculated to occur at \(-25^\circ \) from the carbonyl bond, \(+10^\circ \) from the observed crystal value.39 Woody also calculated that the secondary amide N-acetylglycine NV1 transition moment occurs at \(-35^\circ \), 20° away from the observed crystal value (Table 4a).39

If \( m_1 \) and \( m_2 \) were oriented at \(-35^\circ \) and \(-46^\circ \) from their C=O bonds, they would have crystallographic frame direction cosines of (0.765, \(-0.641\), \(-0.056\)) and (0, \(-0.608\), \(-0.743\), 0.278), respectively. In this case the angles between the primary A-III MART and \( m_1 \) and \( m_2 \) would be 26° and 70°, while the angles between secondary A-III MART and \( m_1 \) and \( m_2 \) would be 16° and 75°. If \( m_1 \) is at \(-25^\circ \) and \( m_2 \) is at \(-40^\circ \) the primary A-III MART would make angles to them of 31° and 67°, while the secondary A-III MART would make angles of 20° and 71°, respectively. The fact that the primary and secondary A-III MARTs are oriented more along \( m_1 \) than \( m_2 \) is associated with the larger NAGA enhancement occurring from the primary amide transition.

We will calculate the amide excitonic interactions using all of the possible \( m_1 \) and \( m_2 \) orientations discussed above. We also examine the possibilities that \( m_2 \) orients at \(-40^\circ \) and \(-55^\circ \) from the carbonyl bond (Table 4).

| Table 4. (a) Possible NV1 Transition Moment Orientations in Primary and Secondary Amides and (b) Interaction Energy \( U \), Mixing Parameter \( \alpha \), and NAGA Transition Moments Magnitudes for Different Orientations of NV1 Transition Moments in Primary and Secondary Amides |

| angle between C-O bond and Clark38 crystal data \(^a\), Pacini et al.36 crystal data \(^b\), corrected by Woody39 | \( m_1 \) (deg) | \( m_2 \) (deg) | \( \alpha \) (deg) | | \( |M_+| \) (D) | \( |M_-| \) (D) | \( U \) (cm\(^{-1}\)) | \( 2U/\sin \alpha \) |
|---|---|---|---|---|---|---|---|---|
| \(-35\) | \(-55\) | 97 | \(-22\) | 2.71 | 3.23 | 734 | 2098 |
| \(-35\) | \(-46\) | 0 | 3.16 | 2.67 | 686 | |
| \(-25\) | \(-35\) | 80 | 27 | 3.28 | 2.65 | 881 | 2172 |
| \(-25\) | \(-40\) | 84 | 20 | 3.21 | 2.73 | 935 | 2909 |

\(^a\) NV1 transition moment of the primary amide; \(^b\) the angles are given in degrees with positive angles measured toward the N atom of the amide group; \( \gamma \) is the angle between the unperturbed NV1 transition moments \( m_1 \) and \( m_2 \) in NAGA. The distance between two NAGA chromophores is \( R_{12} = 3.16 \) Å.
However, the second largest z-PARTs clearly lie out of this plane by 29° for the primary A-III band, 36° for secondary A-III band, and 43° for A-I band. This is expected, since the \( \alpha_p \) Raman tensor element value is not zero. This suggests that some preresonance Raman enhancement also occurs from additional electronic transitions. The similarity of the UV Raman spectra of NAGA to that of AM and NMA suggest that these additional transitions derive from transitions of the individual primary and secondary amides. However, it is also possible that some contribution occurs from new NAGA charge-transfer transitions similar to those calculated recently.\(^{10}\) It is likely that careful NAGA solution Raman depolarization ratio dispersion measurements could determine the types of transitions contributing to enhancement.

In principle, \( \alpha \) can be calculated using eqs 18.1 and 18.2 from the NAGA transition moment magnitudes, \( |M_+| \) and \( |M_-| \), and the AM and NMA transition moments, \( m_1 \) and \( m_2 \), obtained from aqueous solution absorption spectra. We can obtain from eqs 18.1 and 18.2

\[
|M_+|^2 = \cos^2 \alpha |m_1|^2 + \sin^2 \alpha |m_2|^2 + 2 \sin \alpha \cos \alpha |m_1||m_2| \cos \gamma \quad (19.1)
\]

\[
|M_-|^2 = \sin^2 \alpha |m_1|^2 + \cos^2 \alpha |m_2|^2 - 2 \sin \alpha \cos \alpha |m_1||m_2| \cos \gamma \quad (19.2)
\]

where \( \gamma \) is the angle between \( m_1 \) and \( m_2 \).

Equations 19.1 and 19.2 are not independent and, thus, cannot by themselves be used to determine unique \( \alpha \) and \( \gamma \) values. We can, however, calculate possible \( \alpha \) values from the list of possible \( \gamma \) values calculated from the possible orientations discussed above (tabulated in Table 4b). We will choose the \( \alpha \)-value that gives us the best fit for the \( M_+ \) and \( M_- \) values. We find that \( \alpha \) monotonically varies from \( \alpha = -22^\circ \) for \( \gamma = 97^\circ \), to \( \alpha = 27^\circ \) for \( \gamma = 80^\circ \). We immediately neglect \( \alpha = -22^\circ \) since it lies outside of the acceptable range \( 0^\circ \leq \alpha \leq 90^\circ \). A value of \( \alpha = 0^\circ \) would indicate the absence of excitonic interactions.

We can make further progress by utilizing the observed energy splitting between \( M_+ \) and \( M_- \) to further constrain the possible values of \( \alpha \). The energies of the \( \pi^* \), and \( \pi^* \) states are given by\(^{35}\)

\[
W_\pm = \frac{1}{2} (W_1 \pm W_2) \pm (U \sin 2\alpha) \quad (20)
\]

where \( W_1 \) and \( W_2 \) are the energies of the configurations \( \pi^*\pi^* \) and \( \pi^*\pi^* \) and \( 2U \sin 2\alpha \) is the energy splitting between the \( \pi^* \) and \( \pi^* \) states (Figure 11). \( U \) is the electric dipole transition moment interaction energy.\(^{21,35}\)

\[
U = (m_1 \cdot m_2)R_{12}^{-3} - 3(m_1 \cdot R_{12})(m_2 \cdot R_{12})R_{12}^{-5} \quad (21)
\]

where \( R_{12} \) is the vector between the two monomers. In NAGA, we define \( R_{12} \) as the distance between C(1) and C(2) (Figure 1), where \( R_{12} = 3.16 \) Å and vector \( R_{12} \) has crystal components (1.21, -0.044, -2.92). We assume that the transition dipoles are sufficiently close that we can assume a dielectric constant of one.

Table 4b shows that these calculated splitting energies only approach our 3270 cm\(^{-1}\) measured value for \( \alpha = 20^\circ \), otherwise the splitting is much too low. This result is consistent with the \( m_1 \) and \( m_2 \) orientations which are close to those Woody suggested would occur for the isolated amides unperturbed by crystal field effects.\(^{39}\) Thus, we tentatively conclude that for isolated NAGA in aqueous solution \( m_1 \) and \( m_2 \) orient at \(-25^\circ\) and \(-40^\circ\) to the carbonyl bonds. We must recognize, however, that our use of the point dipole model may be inaccurate at these short distances. In the future we hope to examine these interactions by theoretical calculations that allow us to calculate the interaction using a distributed dipole or monopole approach.

Thus, we find for NAGA in aqueous solution an angle of \( \gamma = 84^\circ \) between the unperturbed \( m_1 \) and \( m_2 \) transition moments. From eqs 18.1 and 18.2, we calculate an acute angle between \( M_+ \) and \( m_1 \) of 22° and between \( M_- \) and \( m_2 \) of 15°. This gives rise to an acute angle of 77° (obtuse angle 103°) between the NAGA molecule \( M_+ \) and \( M_- \) transition moments, in agreement with our Raman experimental measurements (see Figure 12). The MART of the secondary A-III vibration lies almost parallel to the NAGA \( M_+ \) transition moment. The degree of mixing of the amide transitions is evident here, since this originally was the primary amide transition.

The orientations of \( M_+ \), \( M_- \), \( m_1 \), and \( m_2 \) are shown within the NAGA molecule in Figure 10, where the plane of the page contains the transition moments. The plane containing \( M_+ \) and \( M_- \) shows dihedral angles with the primary and secondary amide planes of \( \sim 80^\circ \) and \( \sim 35^\circ \), respectively.

Our calculated \( \alpha = 20^\circ \) mixing parameter, indicates excitonic mixing between the secondary and primary amide electronic transitions. For example, \( M_- \) is primarily a secondary amide transition with a \( \sim 36\% \) contribution from the primary amide transition moment, while \( M_+ \) is primarily a primary amide transition. The excitonic coupling cause the enhancement from \( M_- \) to decrease due to the negative sign in eq 18.2 for an acute angle between \( m_1 \) and \( m_2 \). Thus, \( M_+ \) is calculated and is observed to dominate the enhancement of the amide vibrations.

Alternatively we can use a more empirical approach\(^{35}\) (eq 22) to calculate \( \alpha \) by estimating the energy splitting \( W_\pm \) from our experimental NMA and AM transition frequency data:

\[
W_\pm = \frac{1}{2} (W_1 \pm W_2) \pm [(W_1 - W_2)/2 \cos 2\alpha] \quad (22)
\]

We obtain a mixing parameter \( \alpha = 28.2^\circ \) from the experimentally measured \( W_+ \backslash W_- = 3270 \) cm\(^{-1}\). This argues for stronger mixing between the monomer transitions. The resulting interaction energy \( U = 1361 \) cm\(^{-1}\) is significantly larger than that calculated from dipole–dipole interactions (eq 21) or using
monopoles. The fact that $U$ exceeds our calculated through-space interaction energies may indicate that through-bond coupling may be also be significant (in the form of mixing with CT transitions, for example). The value of $\alpha = 28.2^\circ$, can be calculated from eq 19 to result in a value of $\gamma = 78^\circ$, essentially identical to that calculated earlier ($\gamma = 77^\circ \pm 4^\circ$).

We anticipate much larger excitonic interactions for peptides in $\beta$-sheet structures, for example, where the adjacent secondary amide planes lie almost parallel to one another. Further, there is the additional possibility of interactions between the frontier orbitals of the adjacent amides. These types of interactions, for example, give rise to conjugation in polyenes. This, of course, would be minimized for NAGA, where the amide planes and their $\pi$-orbitals are almost perpendicular. We will examine this issue further in dipeptides where the amide planes are more parallel.

Finally, our conclusions concerning the magnitude of exciton mixing between the NAGA primary and secondary amides depends on whether our assumption of the oriented gas model is correct. If, instead, comparable or larger excitonic interactions occur between adjacent NAGA molecules in the unit cell, this will confound our analysis. Our use of the oriented gas model is rationalized by two experimental facts: (1) The primary and secondary amides lie closer to one another in NAGA than do the amides within the unit cell. This proximity should result in a 3-fold larger NAGA intramolecular interaction. (2) The perturbation in the Raman spectra of NAGA compared to the sum of the spectra of AM and NMA is essentially identical for the isolated solution NAGA spectra and the NAGA crystal. Since the vibrational modes remain essentially identical, this indicates that the excited-state perturbations are dominated by the intramolecular exciton interactions present between the amides within each NAGA molecule. Thus, the contributions from intermolecular exciton interactions must be much smaller.

**Conclusions**

UV preresonance single-crystal Raman measurements have been used to determine the coupling between the amide transitions in N-acetylglucylaminic acid (NAGA), a dipeptide of linked secondary and primary amides. We find significant exciton coupling between the secondary and primary amide transitions even though the unperturbed transitions are almost perpendicular to one another ($\gamma = 80^\circ$). The coupled NAGA transition moments are significantly rotated from that which would occur from the individual amide transitions.

We anticipate much larger interactions between the linked amides of peptides and proteins in the more typical cases where the transition moments are more parallel, such as in $\alpha$-helices and especially in $\beta$-sheet peptides where the amide planes are almost parallel. This should result in stronger coupling between adjacent amide electronic transitions and excited states.

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**Appendix**

Here we relate the Raman tensor elements and the Raman intensities in the crystallographic frame to those measured in the laboratory frame, for our NAGA crystal, which has its optic plane perpendicular to the $a$ crystallographic axis.

In the first set of the measurements, the XYZ laboratory frame is related to the orthogonal crystallographic frame by a rotation of angle $\omega$ about the $a = X$ axis. The rotation matrix is

$$R_{X}(\omega) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \omega & \sin \omega \\ 0 & -\sin \omega & \cos \omega \end{pmatrix}$$

(A1)

Thus, the Raman tensor in the laboratory system, $\alpha_{lab}^{(i)}$ for the first set of measurements is given by

$$\alpha_{lab}^{(i)} = R_{X}^{T}(\omega)\alpha_{cry}^{i}R_{X}(\omega)$$

(A2)

where $\alpha_{cry}$ is the Raman tensor expressed in the $abc$ Cartesian crystallographic coordinate system. For the Raman active molecular vibrations of the irreducible representation $A_{g}$ and $B_{g}$, $\alpha_{cry}$ is written as

$$\begin{align*}
\alpha_{cry}(A_{g}) &= \begin{pmatrix} a & 0 & d \\ 0 & b & 0 \\ d & 0 & c \end{pmatrix} \\
\alpha_{cry}(B_{g}) &= \begin{pmatrix} 0 & e & 0 \\ e & 0 & f \\ 0 & f & 0 \end{pmatrix}
\end{align*}$$

(A3)

(A4)

For an $A_{g}$ vibration, we obtain the following Raman tensor elements in the first XYZ laboratory system:

$$\begin{align*}
\alpha_{XX}^{(1)} &= a \\
\alpha_{XY}^{(1)} &= \alpha_{YX}^{(1)} = -d \sin \omega \\
\alpha_{YY}^{(1)} &= b \cos^{2} \omega + c \sin^{2} \omega
\end{align*}$$

In the same way for a $B_{g}$ vibration the result is

$$\begin{align*}
\alpha_{XX}^{(1)} &= 0 \\
\alpha_{XY}^{(1)} &= \alpha_{YX}^{(1)} = e \cos \omega \\
\alpha_{YY}^{(1)} &= -f \sin(2\omega)
\end{align*}$$

In the second set of measurements, we rotated the crystal around the optic axis $Z$, by an angle $-\xi$. This means that the new laboratory frame is obtained from the old one by a rotation around $Z$ axis with an angle $\xi$. Thus, the Raman tensor in the second laboratory frame $\alpha_{lab}^{(2)}$, is

$$\alpha_{lab}^{(2)} = R_{Z}(\xi)\alpha_{lab}^{(1)}R_{Z}(\xi)$$

(A5)

with

$$R_{Z}(\xi) = \begin{pmatrix} \cos \xi & \sin \xi & 0 \\ -\sin \xi & \cos \xi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

(A6)

From eqs A5 and A2 we have

$$\alpha_{lab}^{(2)} = R_{Z}(\xi)R_{X}^{T}(\omega)\alpha_{cry}^{i}R_{X}(\omega)R_{Z}(\xi)$$

(A7)
Equation A7 gives the following tensor elements in the second laboratory frame, for the $A_g$ irreducible representation

$$\alpha^{(2)}_{xx} = a \cos^2 \xi + (b \cos^2 \omega + c \sin^2 \omega) \sin^2 \xi + d \sin \omega \sin (2\xi)$$

$$\alpha^{(2)}_{xy} = (a - b \cos^2 \omega - c \sin^2 \omega) \sin \xi \cos \xi - d \sin \omega \cos (2\xi) = \alpha^{(2)}_{yx}$$

$$\alpha^{(2)}_{yy} = a \sin^2 \xi + (b \cos^2 \omega + c \sin^2 \omega) \cos^2 \xi - d \sin \omega \sin (2\xi)$$

For the $B_g$ irreducible representation the tensor elements are

$$\alpha^{(2)}_{xx} = -e \cos \omega \sin (2\xi) - f \sin (2\omega) \sin^2 \xi$$

$$\alpha^{(2)}_{xy} = e \cos \omega \cos (2\xi) + f \sin \omega \cos \sin (2\xi) = \alpha^{(2)}_{yx}$$

$$\alpha^{(2)}_{yy} = e \cos \omega \sin (2\xi) - f \sin (2\omega) \cos^2 \xi$$

The $A_g$ and $B_g$ vibrations of the NAGA crystal are essentially degenerate. The measured Raman intensity for each band is the sum of contributions from the $A_g$ and $B_g$ tensors. We can express the measured intensities in the lab(1) and the lab(2) frame as functions of the Raman tensors elements of the $A_g$ and $B_g$ irreducible representations in the orthogonal crystallographic coordinate system by

$$I_{xx}(1) = a^2$$

$$I_{xy}(1) = d^2 \sin^2 \omega + c^2 \cos^2 \omega$$

$$I_{yy}(1) = (b \cos^2 \omega + c \sin^2 \omega)^2 + f^2 \sin^2 (2\omega)$$

$$I_{xx}(2) = [a \cos^2 \xi + (b \cos^2 \omega + c \sin^2 \omega) \sin^2 \xi + d \sin \omega \sin (2\xi)]^2 + [e \cos \omega \sin (2\xi) + f \sin (2\omega) \sin^2 \xi]^2$$

$$I_{xy}(2) = [(a - b \cos^2 \omega - c \sin^2 \omega) \sin \xi \cos \xi - d \sin \omega \cos (2\xi)]^2 + [e \cos \omega \cos (2\xi) + f \sin \omega \cos \omega \sin (2\xi)]^2$$

$$I_{yy}(2) = [a \sin^2 \xi + (b \cos^2 \omega + c \sin^2 \omega) \cos^2 \xi - d \sin \omega \sin (2\xi)]^2 + [e \cos \omega \sin (2\xi) - f \sin (2\omega) \cos^2 \xi]^2$$